

# MIT2022-05: Large vessel trawl warp mitigation

Presentation to DOC CSP Technical Working Group  
9 March 2023

---

**Prepared by:**  
Kath Large

---

**Date:**  
9 March 2023

# Project context

- Mandatory mitigation in large vessel trawl fisheries were introduced in the early 2000s, but mitigation requirements have remained largely unchanged for several years.
- Whilst substantial reductions in seabird bycatch estimates were documented in the 2000s, there has been little evidence for further bycatch rate reduction in more recent years.
- Since the introduction of mandatory mitigation, substantial new data on bycatch between vessels and across sectors of the fleet is available from relatively high levels of observer coverage.
- Of particular note, some mitigation used, i.e., bird bafflers, are not currently recognised as best practice globally.



# Project context

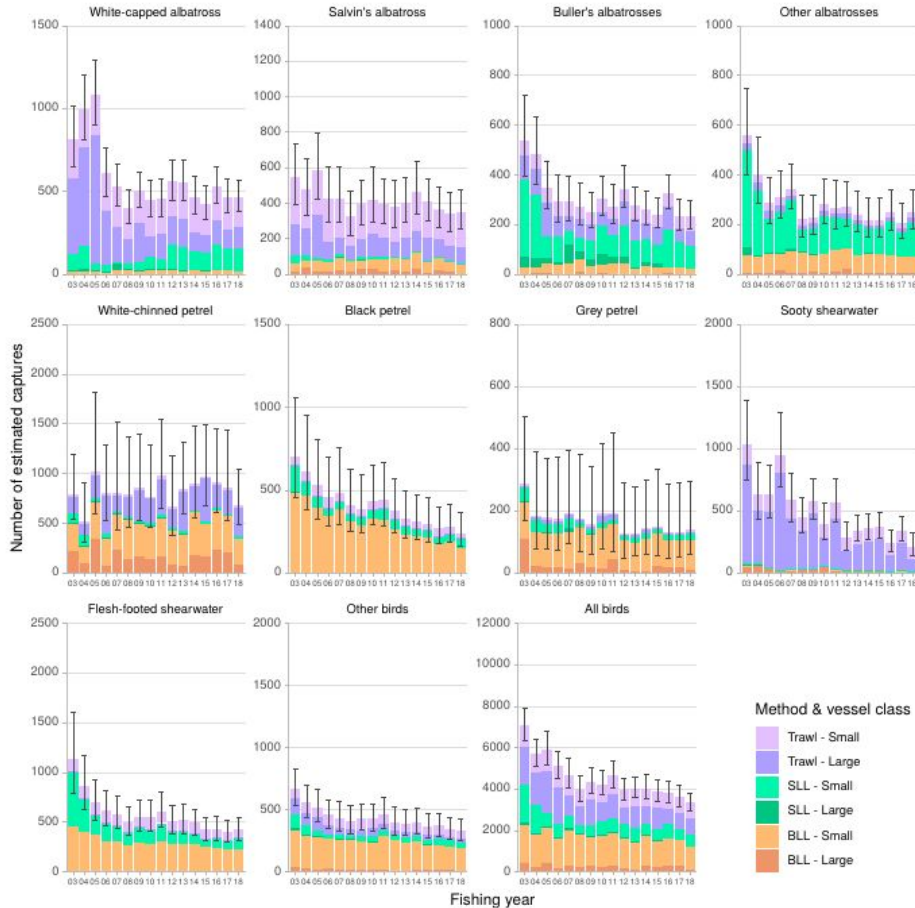


Figure 6 from Abraham and Richards (2020)

- Time series of the number of estimated captures for the seabird species groups and for all birds for the 2002–03 to 2017–18 fishing years.
- Estimates are shown by fishing method and vessel size class.
- Cut-off lengths for small and large vessel size classes were 45 m, 34 m, and 28 m, for surface-longline (SLL), bottom-longline (BLL), and trawl fishing, respectively.
- Coloured bars indicate the mean number of captures, error bars are the 95% credible interval in the total number of estimated captures within each fishing year.
- Note different y-axis scales.



# Approach

Investigate whether the recorded designs of the mitigation devices are impacting their effectiveness.

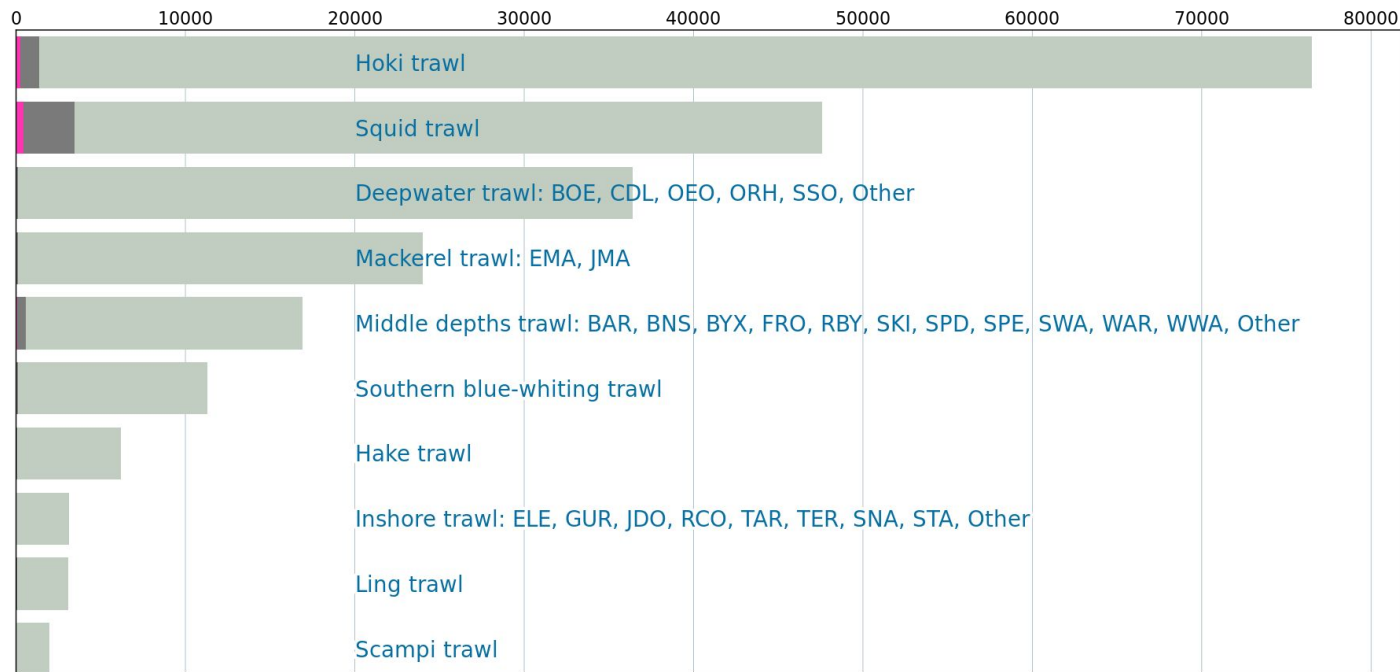
- Determine whether modelling can identify optimal device combinations or configurations based on available data (Part1)
- Characterise and summarise the mitigation device data, which includes device measurements (Part 2)
  - Using the device data characterisation, liaise with stakeholders to determine:
    - i. ideal device design and deployment;
    - ii. whether device design details are evident in the data;
    - iii. how the data could best be summarised to inform mitigation effectiveness; and,
    - iv. how the data collection and reporting can be improved to better inform mitigation effectiveness.



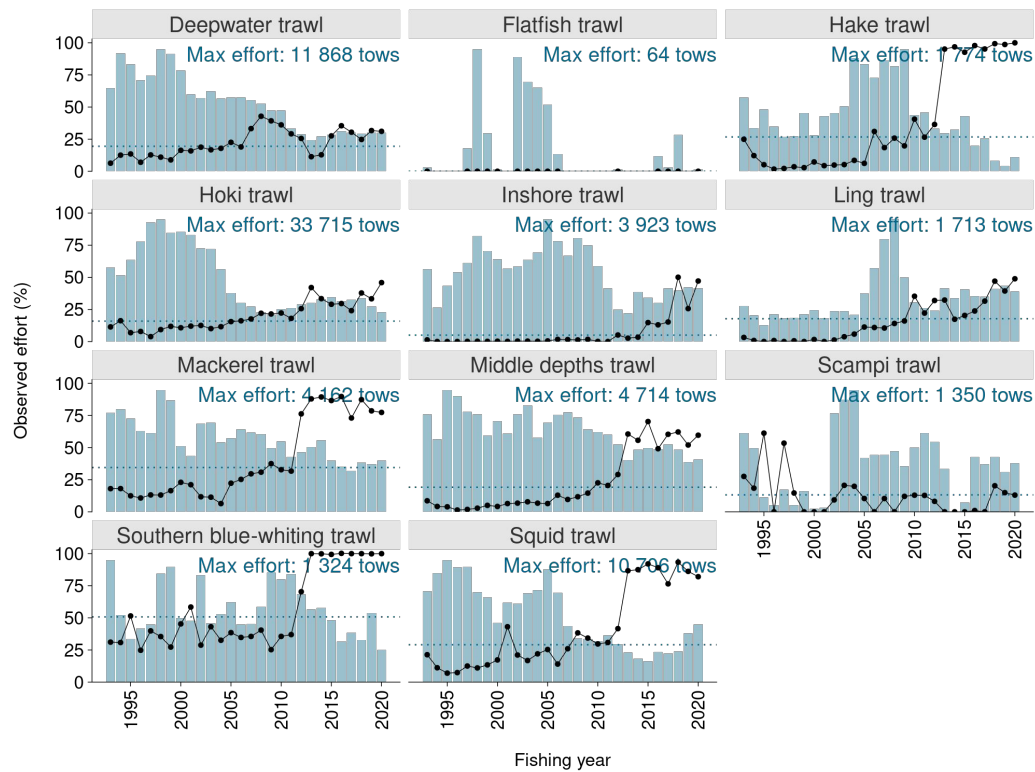
# Data

## Observed large vessel trawl effort

Number of observed tows (green), with seabird captures (grey), and with warp captures (pink), by fishery group, 1993-2020



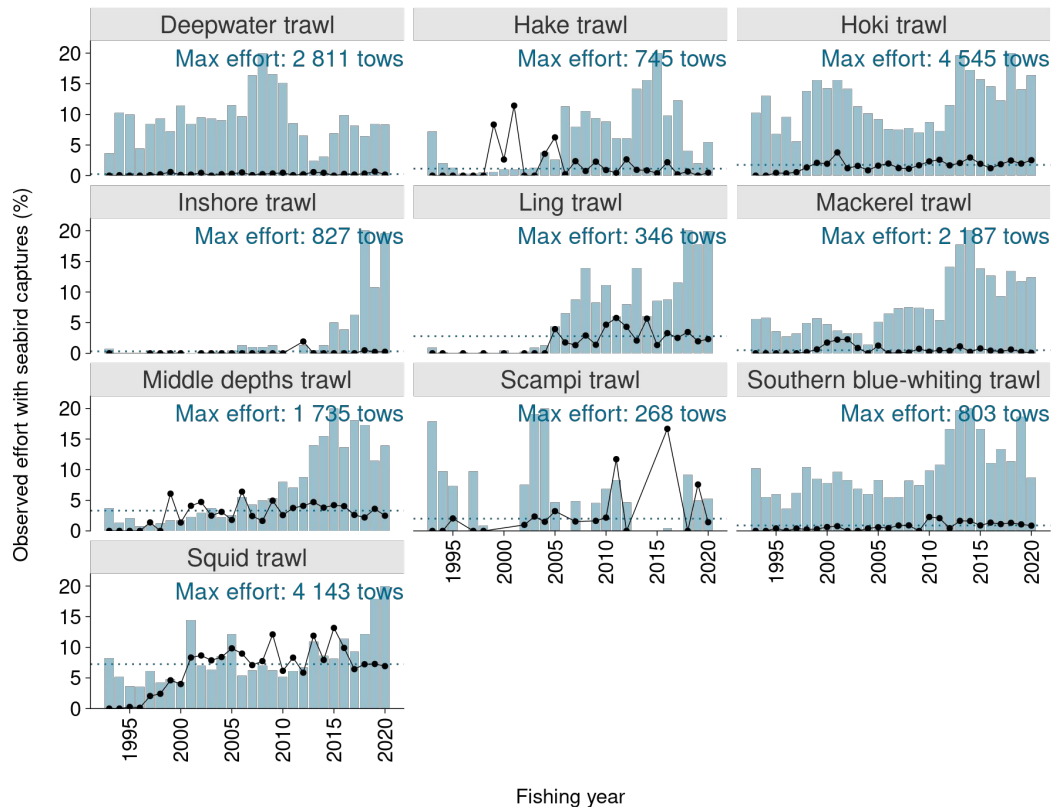
# Data



- Proportion of large vessel trawls that were observed (black line).
- Total effort (blue bars)
- By fishery and fishing year



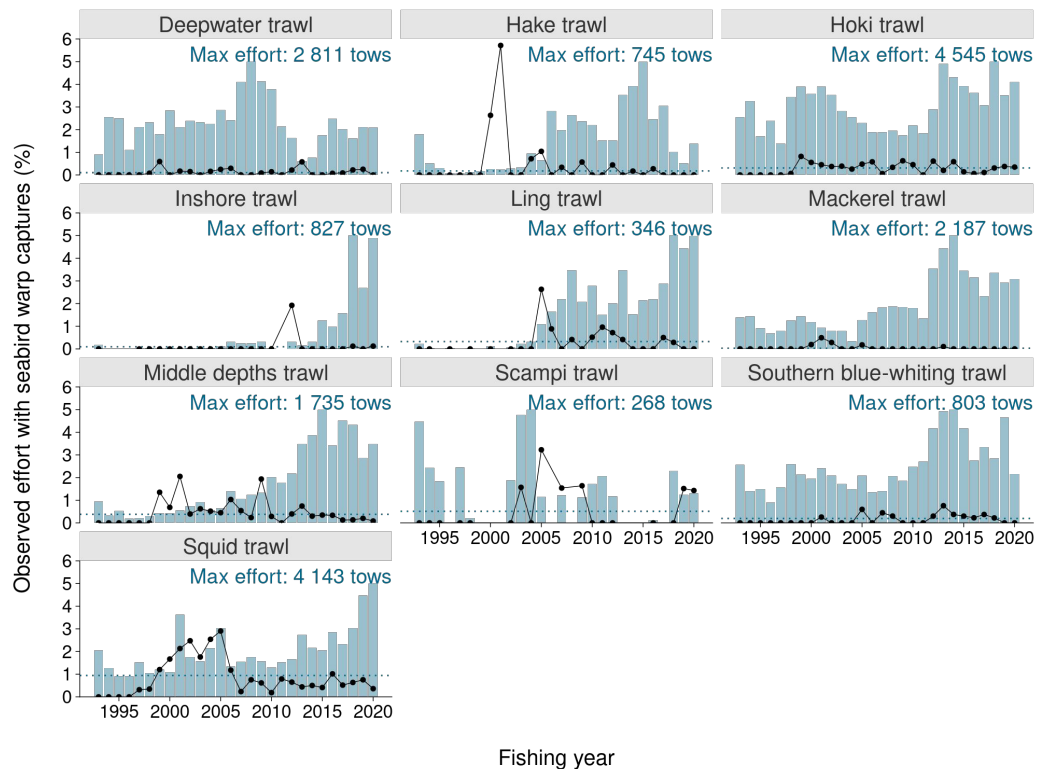
# Data



- Proportion of observed effort with observed seabird captures (black line).
- Observed effort (blue bars)



# Data



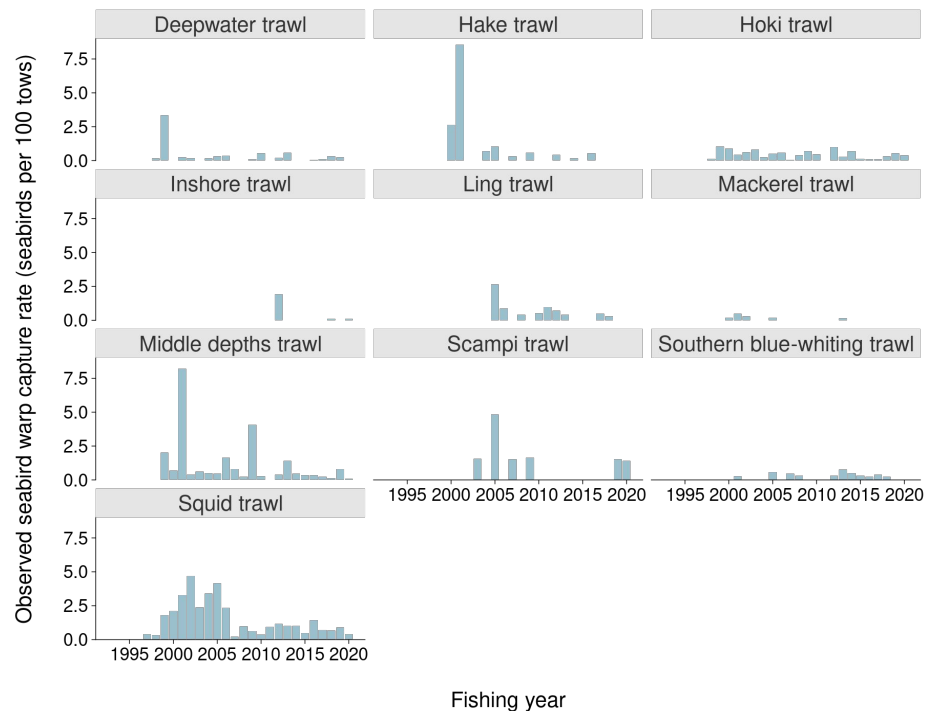
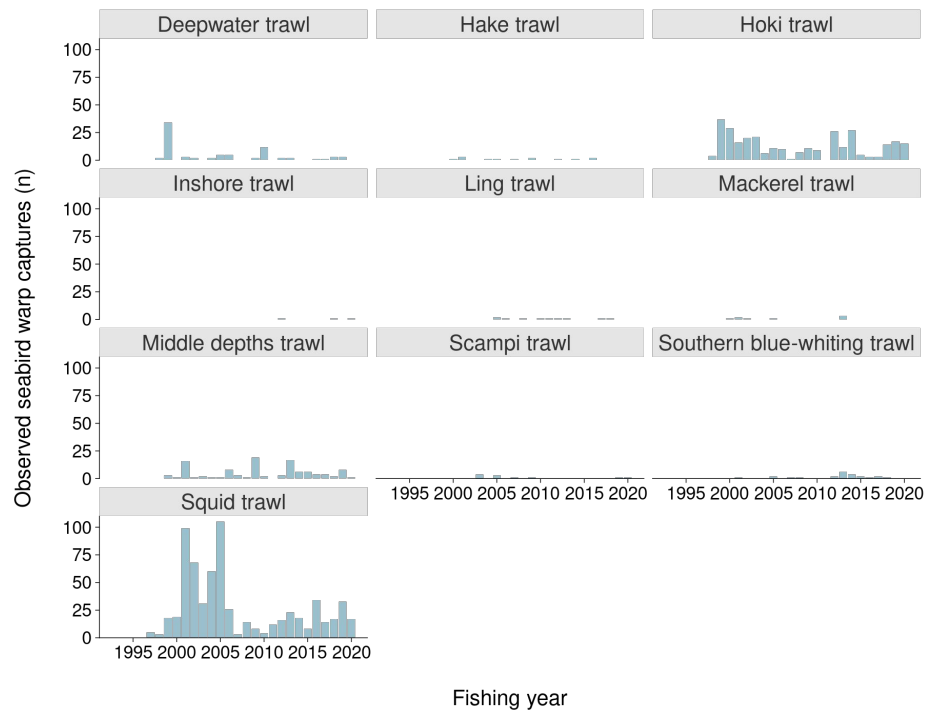
- Proportion of observed effort with seabird warp captures (back line)
- Observed effort (blue bars)





# Data

- Observed warp captures (left) and warp capture rates (right) in observed large vessel trawls



# Data

Number of tows with warp mitigation use recorded

B = baffler only

T = tori only

W = warp scarer only

BT = baffler and tori line

BS = baffler and warp scarer

TS = tori line and warp scarer

BTS = baffler, tori line and warp scarer

- Reliable recorded since 2008
- Not recorded an None records are probably misreported and not true “nones”.

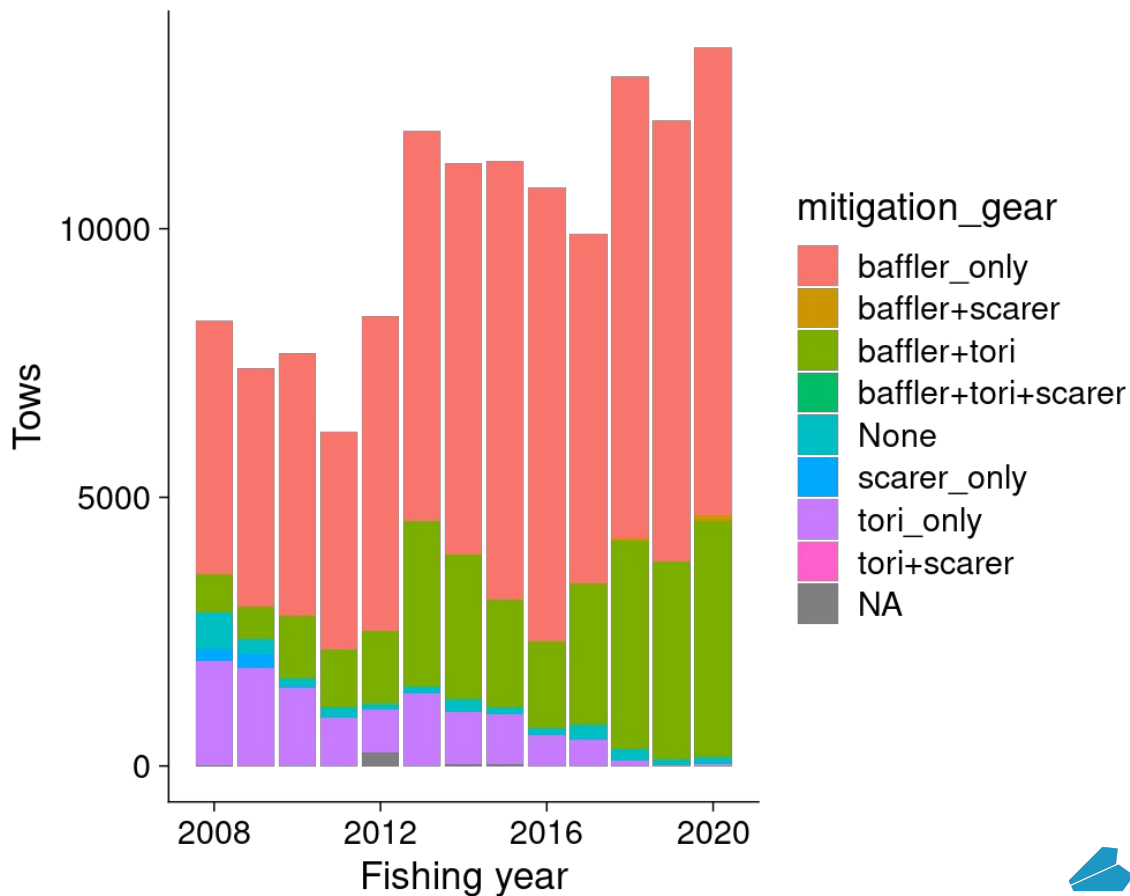
fyear	Not_recorded	None	B	T	S	BT	BS	TS	BTS
1993	6429	-	-	-	-	-	-	-	-
1994	6657	-	-	-	-	-	-	-	-
1995	4676	-	-	-	-	-	-	-	-
1996	4052	-	-	-	-	-	-	-	-
1997	4534	-	-	-	-	-	-	-	-
1998	6418	-	-	-	-	-	-	-	-
1999	6695	-	-	-	-	-	-	-	-
2000	6779	-	-	-	-	-	-	-	-
2001	8753	-	-	-	-	-	-	-	-
2002	7103	-	-	-	-	-	-	-	-
2003	6453	-	-	-	-	-	-	-	-
2004	6364	-	-	-	-	-	-	-	-
2005	7587	-	-	-	-	-	-	-	-
2006	6183	-	-	-	-	-	-	-	-
2007	4817	377	993	870	55	133	-	-	-
2008	5	697	4738	1941	207	696	-	12	-
2009	-	277	4447	1803	259	605	-	15	-
2010	-	152	4878	1458	12	1176	1	-	-
2011	-	189	4043	904	-	1077	-	-	-
2012	245	104	5874	803	-	1354	-	-	-
2013	-	127	7265	1350	1	3073	1	-	-
2014	37	250	7281	959	-	2695	-	-	-
2015	29	134	8178	929	-	1993	-	-	-
2016	-	127	8436	574	-	1619	-	-	-
2017	1	286	6508	477	-	2628	-	-	-
2018	1	225	8595	92	-	3866	58	-	-
2019	-	124	8211	4	-	3679	-	-	1
2020	-	137	8720	25	-	4406	90	-	2



# Data

Recorded warp mitigation use for observed trawls

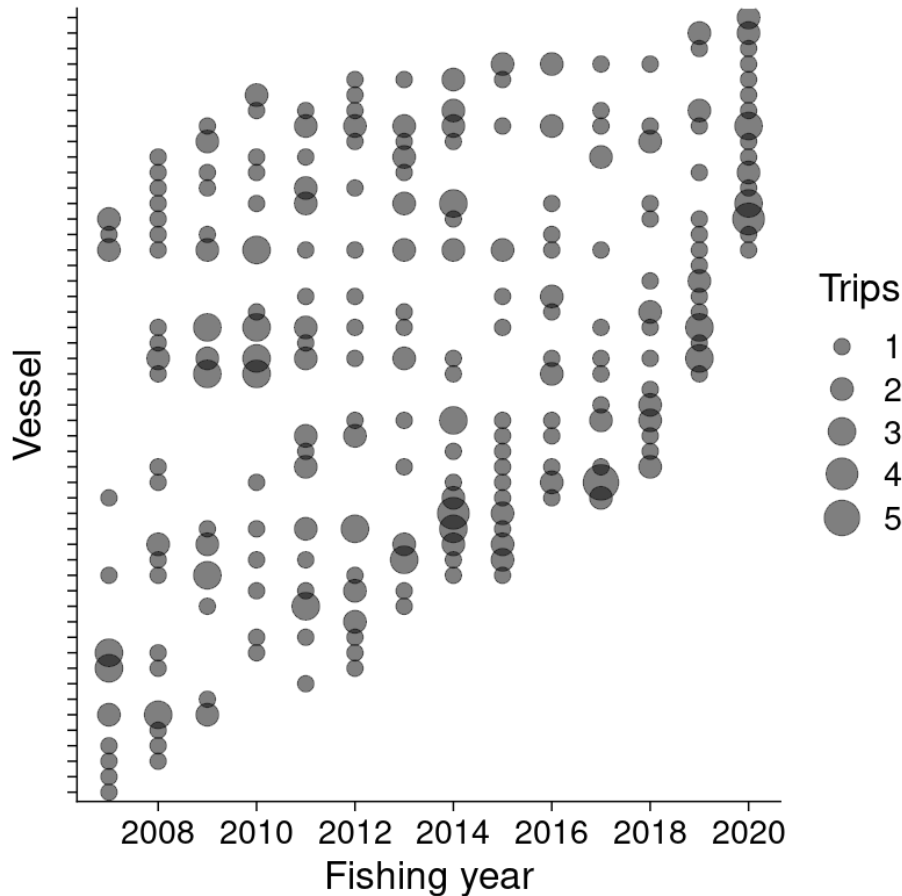
- 2008 to 2020
- Use of baffle only the most prevalent form of mitigation gear
- Use of tori line only has decreased with the increase in tori lines used in conjunction with bafflers



# Data

Recorded warp mitigation use for observed trawls, 2007 to 2020

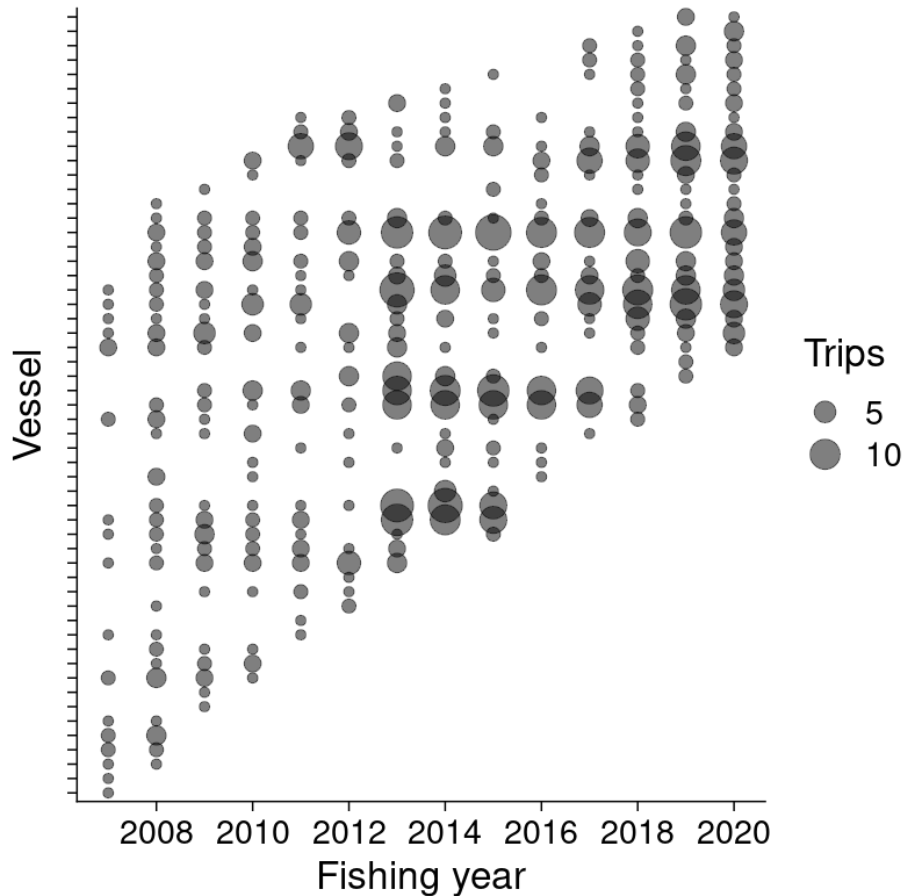
- Baffler use by vessel and year
- Good coverage across years and fleet



# Data

Recorded warp mitigation use for observed trawls, 2007 to 2020

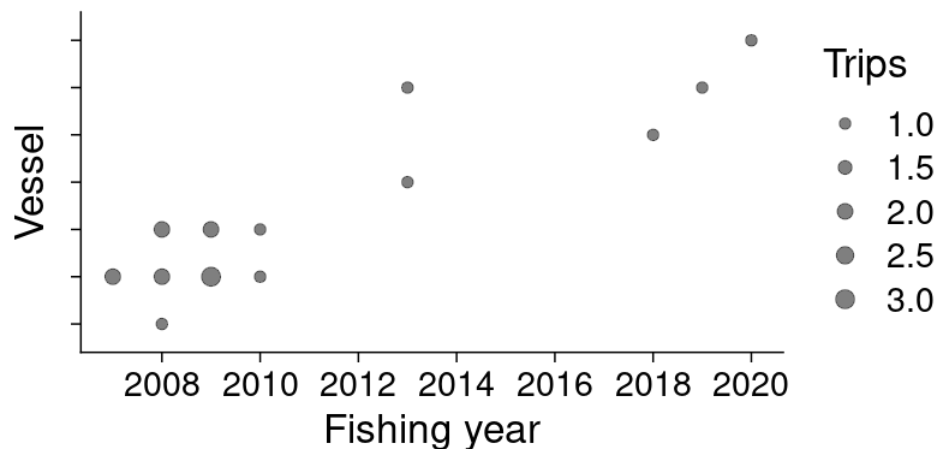
- Tori line use by vessel and year
- Good coverage across years and fleet



# Data

Recorded warp mitigation use for observed trawls, 2007 to 2020

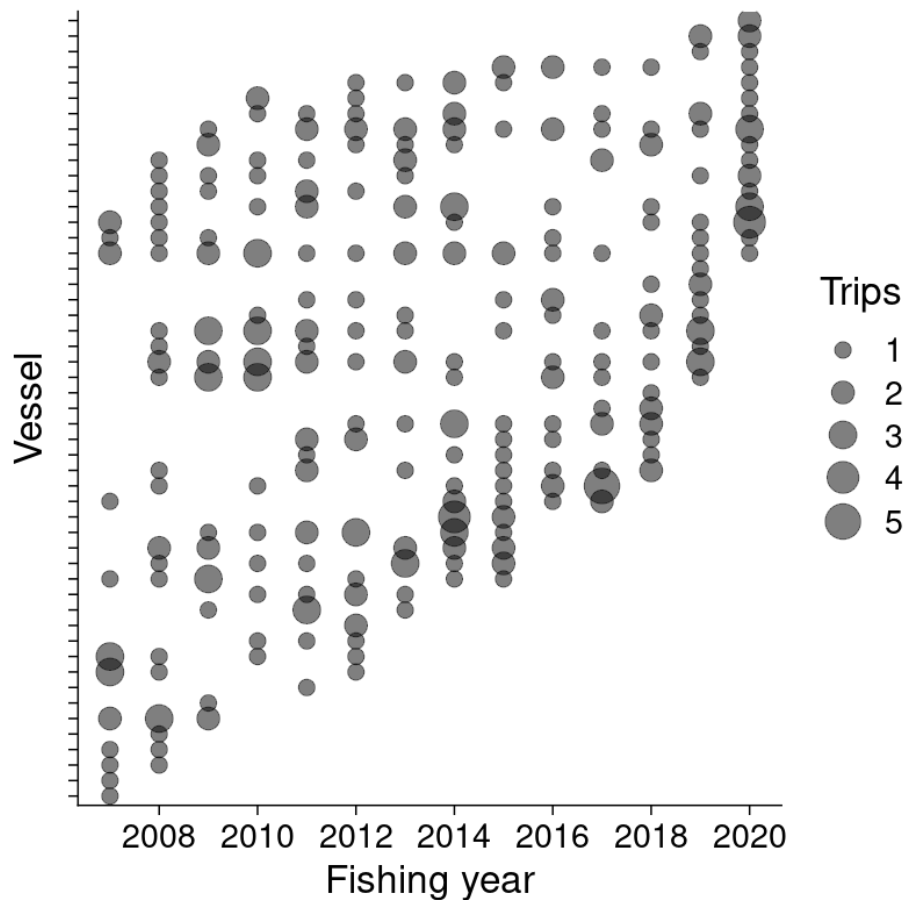
- Warp scarer use by vessel and year
- No coverage across years and fleet



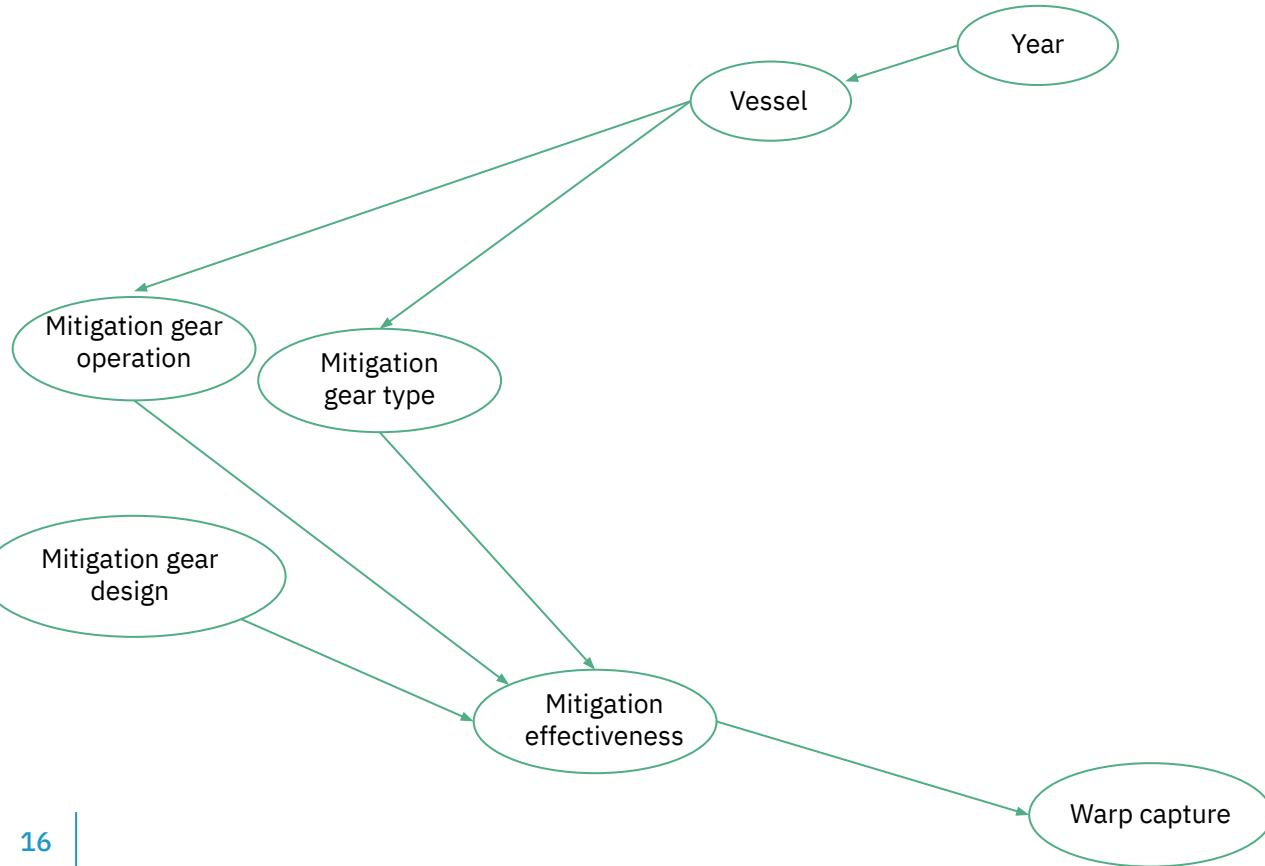
# Data

Recorded warp mitigation use for observed trawls, 2007 to 2020

- Mitigation gear not recorded
- More work required to reconcile these records

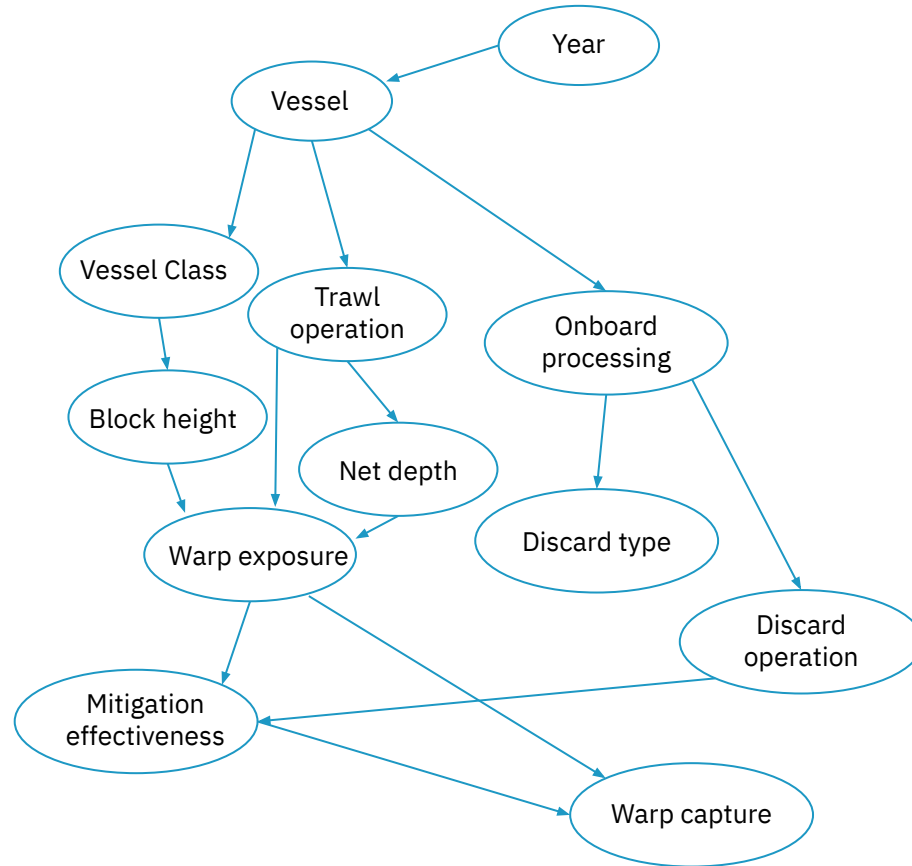


# Approach - relating use of mitigation gear to seabird warp captures

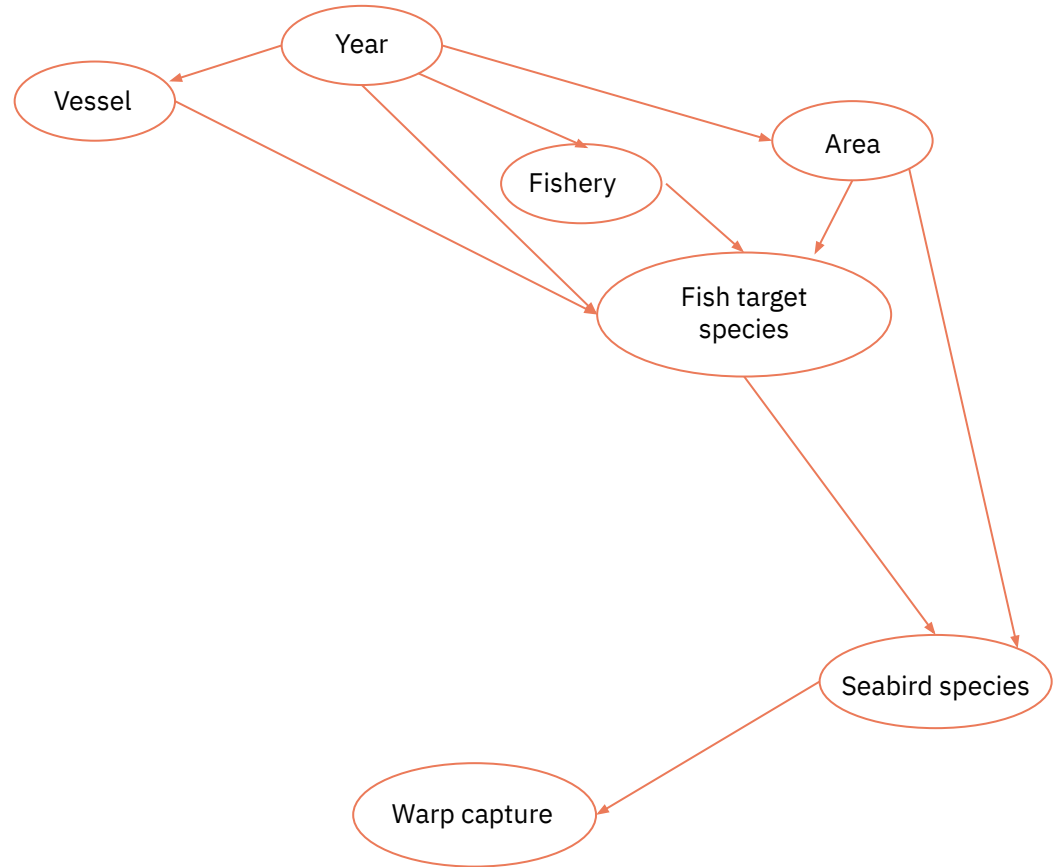




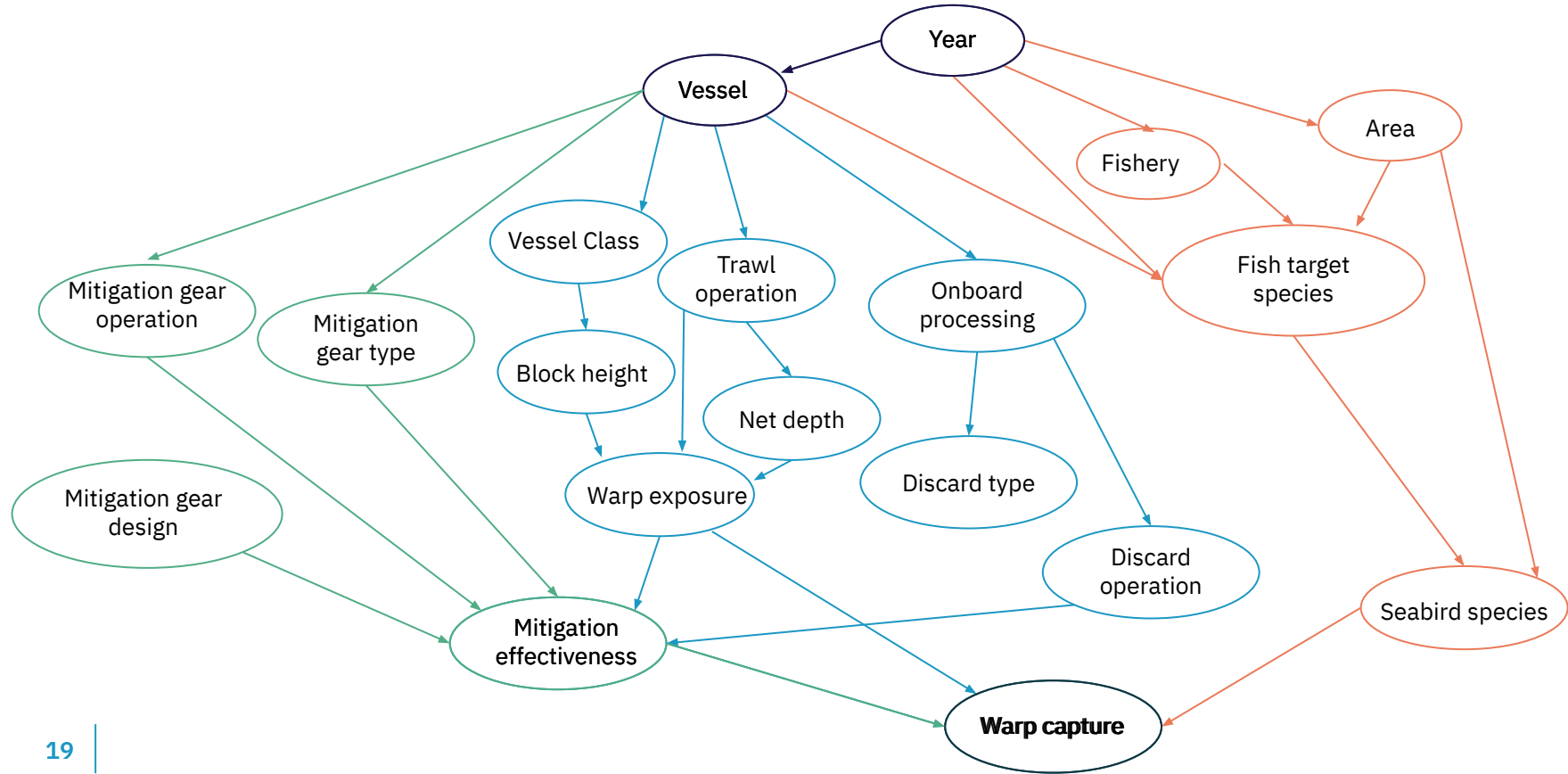
# Approach - relating use of mitigation gear to seabird warp captures



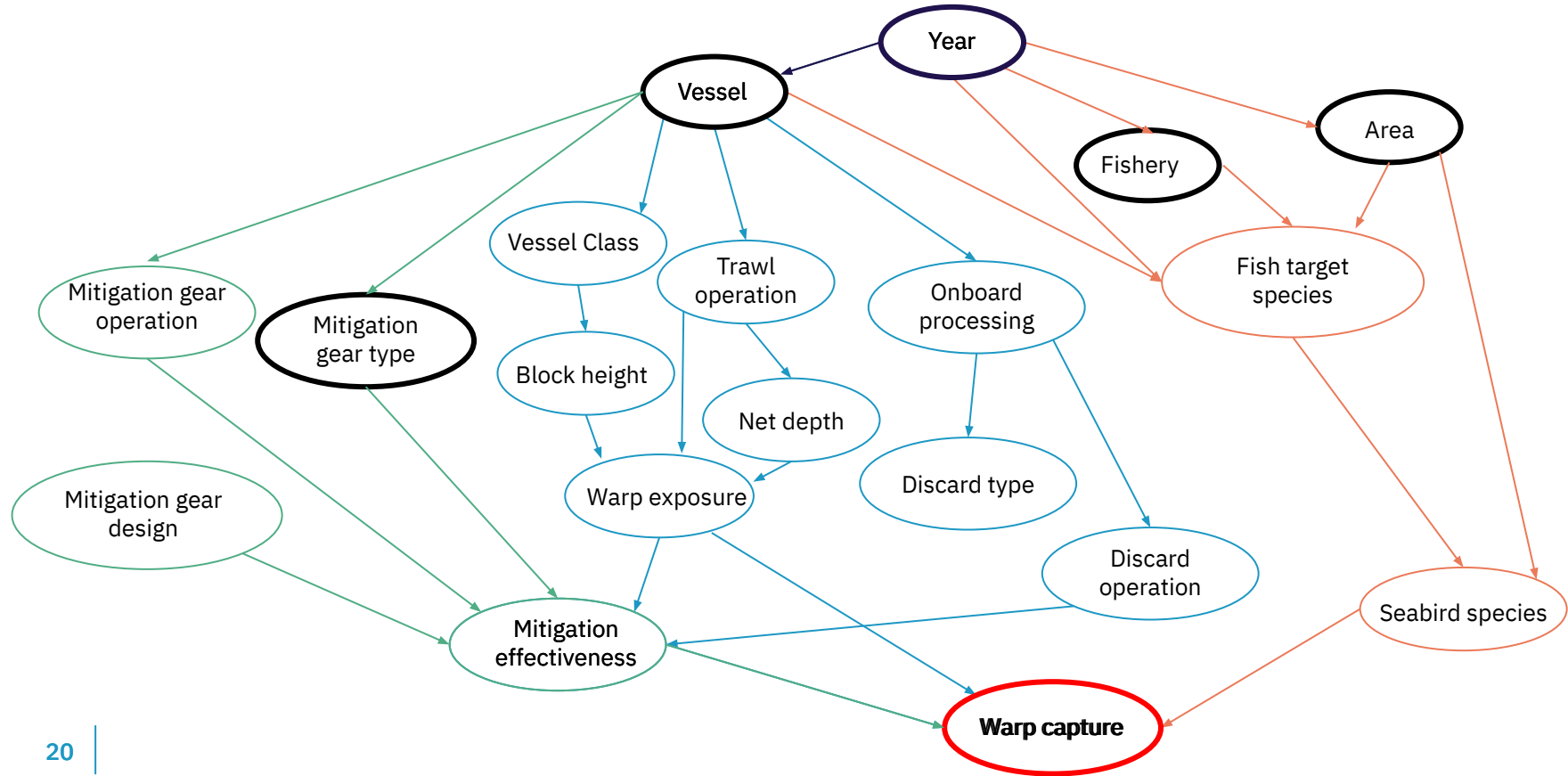
# Approach - relating use of mitigation gear to seabird warp captures



# Approach - relating use of mitigation gear to seabird warp captures



# Approach - relating use of mitigation gear to seabird warp captures



# Approach

Using modelling to identify optimal device combinations or configurations based on available data - Part 1

Captures were estimated from observer catch rates using a generalised linear mixed model:

- covariates included fishing year, fishery, area and vessel as random effects, with mitigation gear included as a categorical fixed effect (at levels baffler-only, tori-only, baffler+tori)
- models were run with data aggregated to year, area, fishery and mitigation gear
- data included for fishing years 2008 to 2020, and excluding data where warp mitigation gear was not recorded



# Approach

Captures were estimated from observer catch rates using a generalised linear mixed model:

- estimated within a general Bayesian linear model framework “brms”
- used a negative binomial model (often preferred for highly skewed distributions with large amounts of zeros)
- models were fitted with eight separate Markov Chain Monte Carlo chains with 3000 iterations, including a 1000 iteration burn-in period that was discarded from posterior samples
- convergence was judged by marginal and multivariate scale reduction factors (SRF) across the eight chains (at convergence of MCMC runs, the MSFR (or Rhat) is one)
- Model fit was evaluated by posterior predictive checks and leave-one-out information criterion (loo-ic) comparisons



# Approach

Model sets/hypotheses (using NB response):

**Fleet (area, fishery, vessel, fishing year) vs mitigation gear**

mod 1  $\text{captures} \mid \text{tows} \sim \text{mitigation\_type}$

mod 2  $\text{captures} \mid \text{tows} \sim (1 \mid \text{area}) + \text{mitigation\_type}$

mod 3  $\text{captures} \mid \text{tows} \sim (1 \mid \text{Fishery Group}) + (1 \mid \text{area}) + \text{mitigation\_type}$

mod 4  $\text{captures} \mid \text{tows} \sim (1 \mid \text{vessel}) + (1 \mid \text{Fishery Group}) + (1 \mid \text{area}) + \text{mitigation\_type}$

mod 5  $\text{captures} \mid \text{tows} \sim (1 \mid \text{fyear}) + (1 \mid \text{Fishery Group}) + (1 \mid \text{area}) + \text{mitigation\_type}$

mod 6  $\text{captures} \mid \text{tows} \sim (1 \mid \text{fyear}) + (1 \mid \text{vessel}) + (1 \mid \text{Fishery Group}) + (1 \mid \text{area}) + \text{mitigation\_type}$

**Fleet (area, fishery, vessel, fishing year) vs interaction of mitigation gear and fishing year or vessel**

mod 7  $\text{captures} \mid \text{tows} \sim (1 \mid \text{fyear}) + (1 \mid \text{vessel}) + (1 \mid \text{Fishery Group}) + (1 \mid \text{area}) + \text{mitigation type} + (1 \mid \text{vessel:mitigation\_type})$

mod 8  $\text{captures} \mid \text{tows} \sim (1 \mid \text{fyear}) + (1 \mid \text{vessel}) + (1 \mid \text{Fishery Group}) + (1 \mid \text{area}) + \text{mitigation type} + (1 \mid \text{fyear:mitigation\_type})$

mod 9  $\text{captures} \mid \text{tows} \sim (1 \mid \text{fyear}) + (1 \mid \text{vessel}) + (1 \mid \text{Fishery Group}) + (1 \mid \text{area}) + \text{mitigation type} + (1 \mid \text{fyear:mitigation\_type}) + (1 \mid \text{vessel:mitigation\_type})$



# Model fit

- models 8 and 9 provided the best fit to the data
- these models included random effects for year and vessel, whereas the other models did not

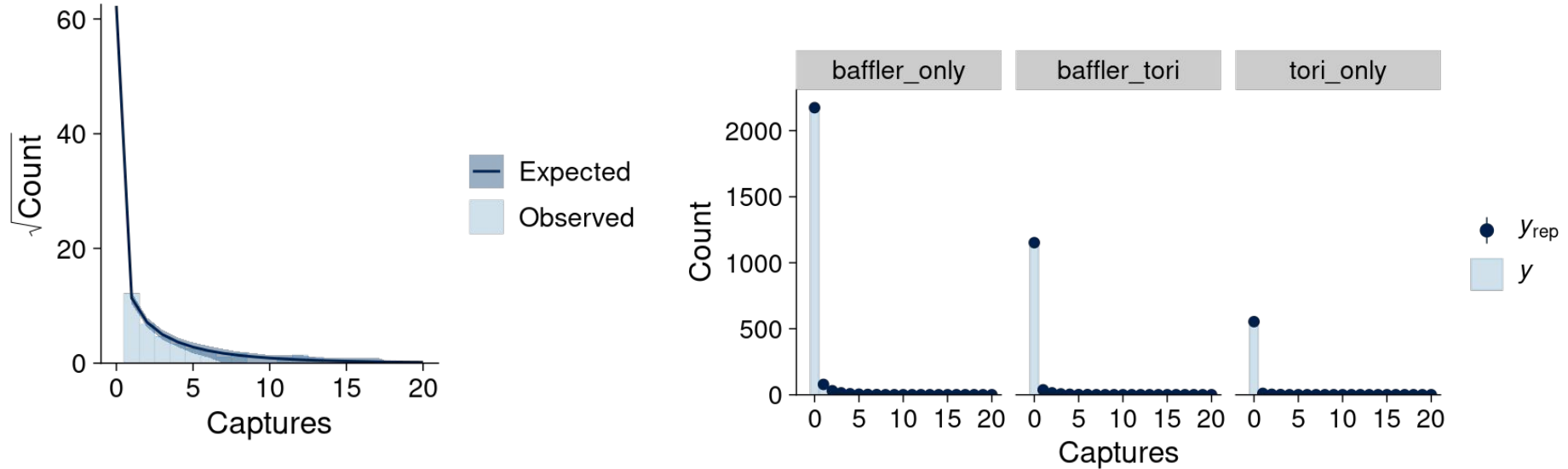
Model	elpd_loo	se_elpd_loo	elpd_diff	se_diff	right side of formula
bmod8	-1,072.42	58.45	0.00	0.00	(1   fyear) + (1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear + (1   fyear:mitigation_gear)
bmod9	-1,072.86	58.44	-0.43	2.53	(1   fyear) + (1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear + (1   vessel_key:mitigation_gear) + (1   fyear:mitigation_gear)
bmod7	-1,075.22	58.63	-2.80	3.94	(1   fyear) + (1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear + (1   vessel_key:mitigation_gear)
bmod6	-1,075.32	58.67	-2.90	2.75	(1   fyear) + (1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear
bmod4	-1,078.68	58.83	-6.26	4.74	(1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear
bmod3	-1,106.11	60.11	-33.69	9.63	(1   fisheryGroup) + (1   area) + mitigation_gear
bmod5	-1,106.20	60.46	-33.78	9.73	(1   fyear) + (1   fisheryGroup) + (1   area) + mitigation_gear
bmod2	-1,112.91	60.04	-40.49	11.02	(1   area) + mitigation_gear
bmod1	-1,168.01	63.19	-95.59	15.74	mitigation_gear





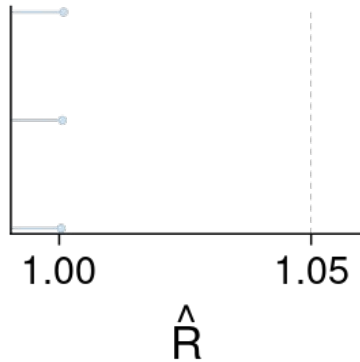
# Model fit

Posterior predictive checks for Model 8 shows the model fits the data well overall (left) and for each of the mitigation gear categories (right)



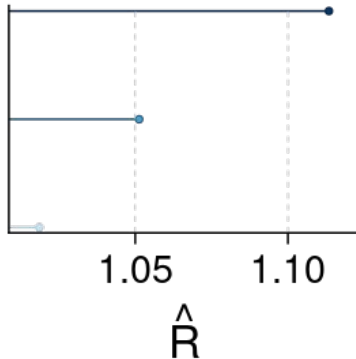
# Model diagnostics

Models 2-8



- $\hat{R} \leq 1.05$
- $\hat{R} \leq 1.1$
- $\hat{R} > 1.1$

Model 1



- $\hat{R} \leq 1.05$
- $\hat{R} \leq 1.1$
- $\hat{R} > 1.1$

Rhat values were at or close to 1 for all variables in Models 2 to 8, indicating convergence

- Rhat values shown for the mitigation categories in Model 8

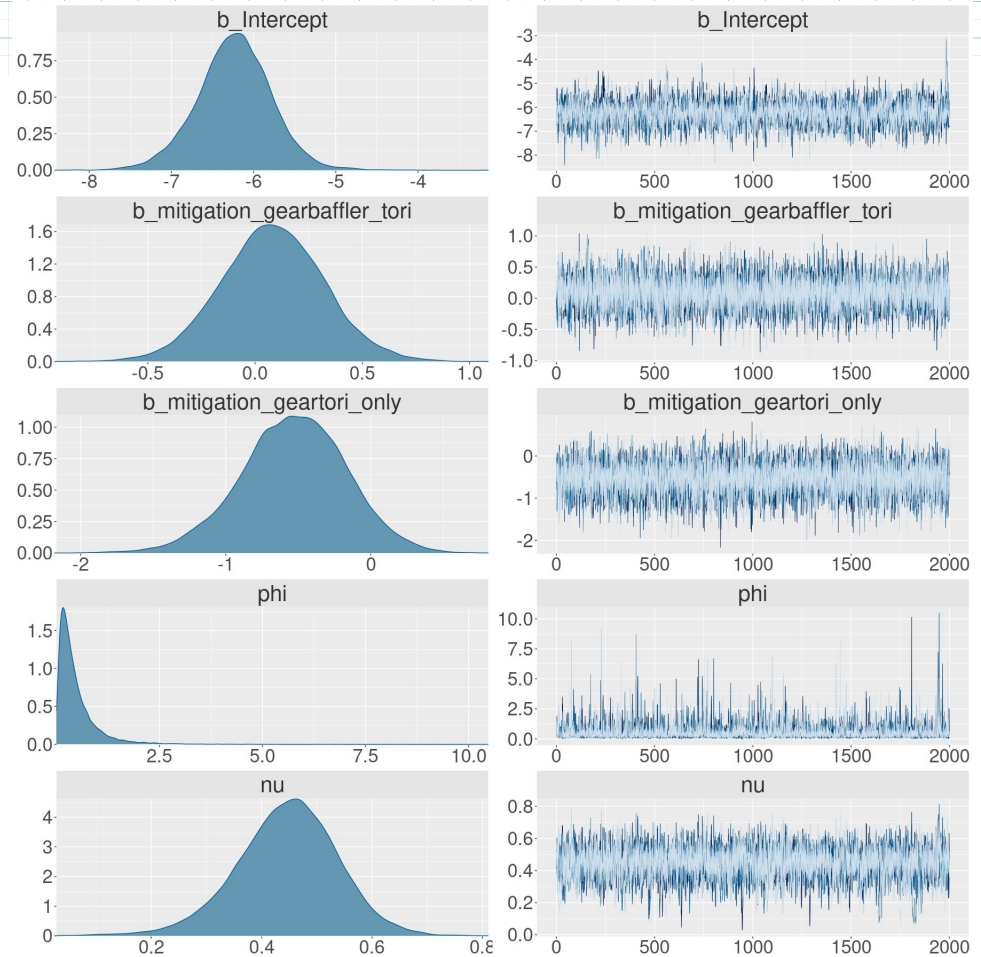
Rhat values were  $>1.05$  for most variables in Model 1, indicating non-convergence for this model.



# Model diagnostics

## Model 8:

- Distribution and trace plots of the estimated values for the models main variables at each iteration
- Reasonably well mixing MCMC chains indicating convergence



# Model results

- Model estimates and 95% credible intervals (in brackets) for the levels of mitigation gear
- Best performing models (8, 9, 7, 6) have consistent results, and all include an effect for vessel and for fishing year

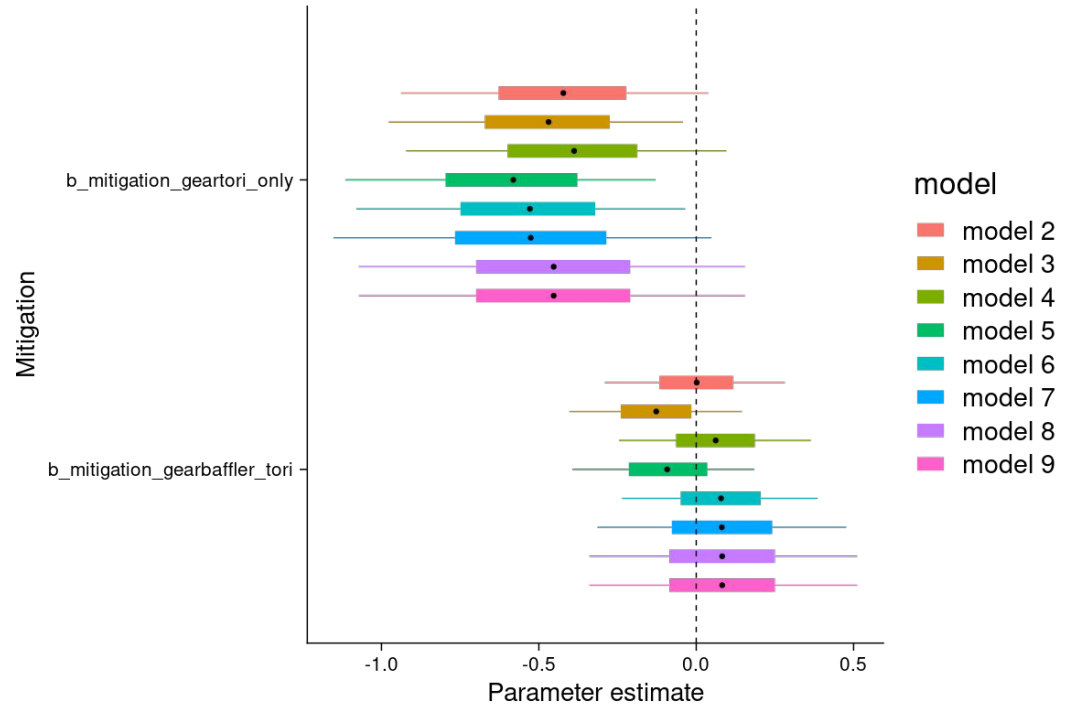
mods	baffler & tori	tori only	right side of formula
bmod8	0.08 (-0.34 , 0.51)	-0.45 (-1.07 , 0.15)	(1   fyear) + (1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear + (1   fyear:mitigation_gear)
bmod9	0.09 (-0.41 , 0.59)	-0.47 (-1.17 , 0.2)	(1   fyear) + (1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear + (1   vessel_key:mitigation_gear) + (1   fyear:mitigation_gear)
bmod7	0.08 (-0.31 , 0.48)	-0.53 (-1.15 , 0.05)	(1   fyear) + (1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear + (1   vessel_key:mitigation_gear)
bmod6	0.08 (-0.24 , 0.39)	-0.53 (-1.08 , -0.03)	(1   fyear) + (1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear
bmod4	0.06 (-0.25 , 0.36)	-0.39 (-0.92 , 0.1)	(1   vessel_key) + (1   fisheryGroup) + (1   area) + mitigation_gear
bmod3	-0.13 (-0.4 , 0.15)	-0.47 (-0.98 , -0.04)	(1   fisheryGroup) + (1   area) + mitigation_gear
bmod5	-0.09 (-0.39 , 0.18)	-0.58 (-1.12 , -0.13)	(1   fyear) + (1   fisheryGroup) + (1   area) + mitigation_gear
bmod2	0 (-0.29 , 0.28)	-0.42 (-0.94 , 0.04)	(1   area) + mitigation_gear
bmod1	0.36 (0.09 , 0.68)	-0.35 (-0.79 , 0.19)	mitigation_gear



# Model results

Model estimates and 95% credible intervals for the levels of mitigation gear, by model

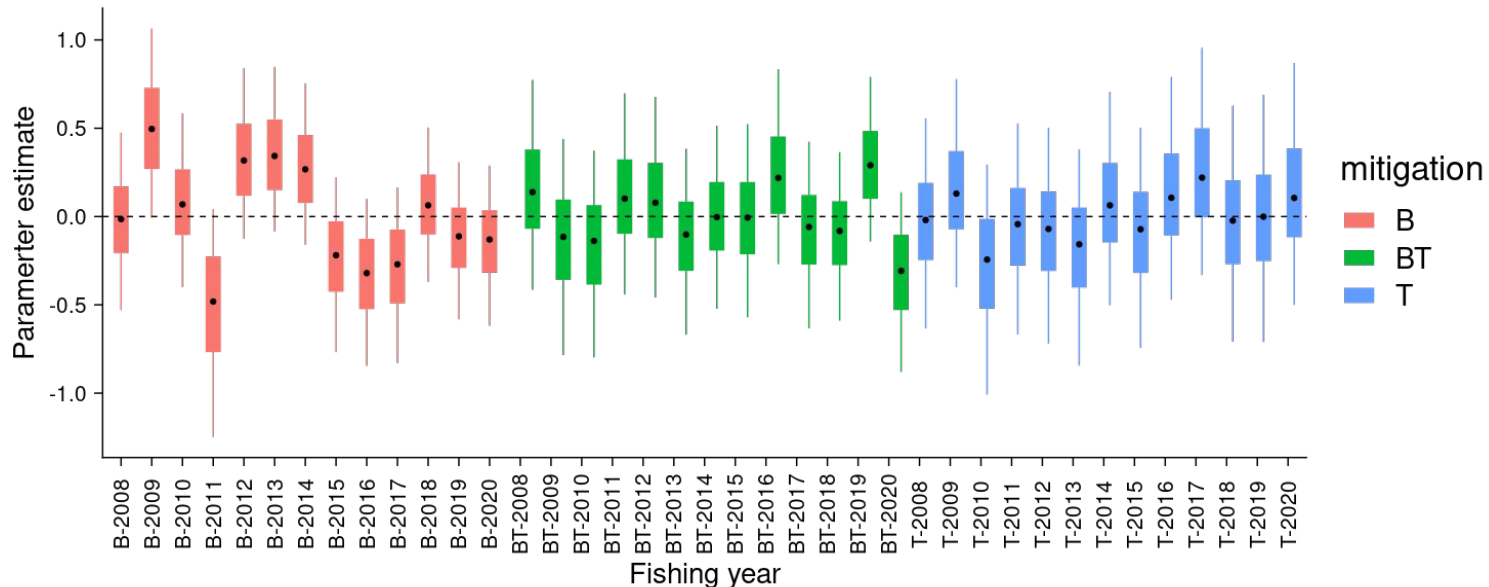
- In general, compared to baffler use only, the use of tori lines is more effective
- The combination of bafflers and tori lines are slightly less effective than baffler use only
- Models 2, 3 and 5 did not include a vessel effect
- Model 4 did not include a year effect
- Model 1 did not converge



# Results

Model 9 estimates and 95% credible intervals for the levels of mitigation gear by year

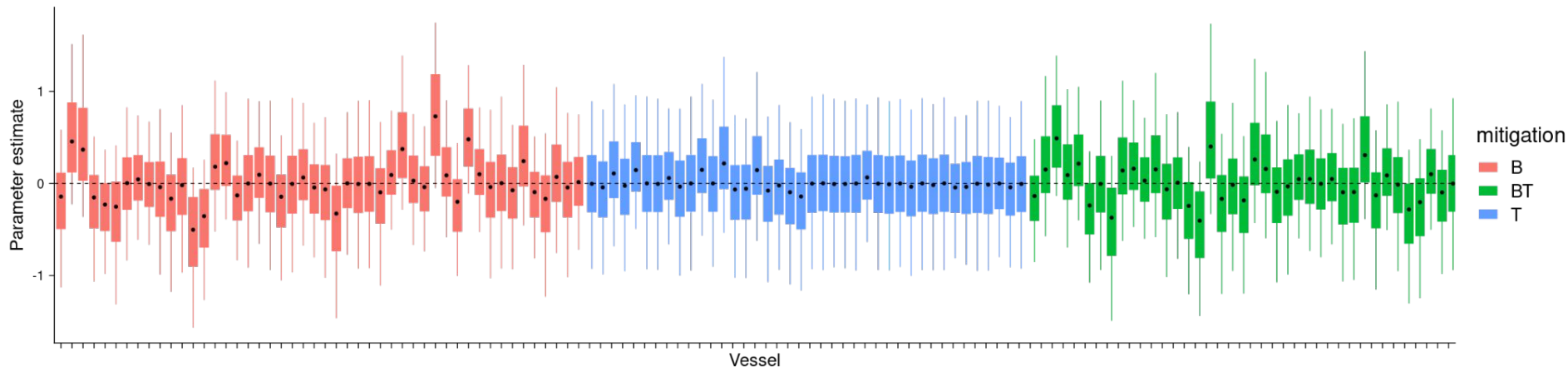
- Effectiveness of bafflers has improved, especially after 2015
- Effectiveness of tori lines (and tori lines used with bafflers) is less variable than that of bafflers only over time



# Results

Model 9 estimates and 95% credible intervals for the levels of mitigation gear by vessel

- Effectiveness of tori lines is relatively consistent among vessels
- Effectiveness of bafflers is highly variable amongst vessels



# Discussion

- Modelling of catch rates in response to warp mitigation gear use, taking into account variability in fishery operations and species populations, shows a difference in effectiveness between the use of bafflers and tori lines
- These trends are highly variable by year, especially for baffler use, but less so since the mid 2010s, and perhaps indicate an increasing effectiveness of baffler gear in recent years
- The effectiveness of the introduction of mitigation gear is not modelled due to the lack of data available prior to 2008
- Investigation of mitigation device data may:
  1. provide information to inform the patterns in effectiveness indicated in Part 1; and
  2. enable more complex modelling of mitigation effectiveness.





# Thanks to:

**Richard Wells**

**John Cleal**

**Ben Steele Mortimer**

**David Middleton**

**Phil Neubauer**

**Yvan Richards**

**Ed Abraham**

**CSP Technical Working Group**

# Additional model diagnostics

## Model 8, pairs plot

- Good, no evidence of correlated variables

