

# Exploratory Analysis

MIT2022-05 Large vessel trawl warp mitigation

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## Project MIT2022-05: Presentation to the CSP Technical Working Group

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## Project context

- Large vessels trawl fisheries have been identified as posing considerable bycatch risk to seabirds, particularly through warp strikes.
- Mandatory mitigation in large vessel trawl fisheries were introduced in the early 2000s, but mitigation requirements have remained largely unchanged for several years.
- Whilst substantial reductions in seabird bycatch estimates were documented in the 2000s, there has been little evidence for further bycatch rate reduction in more recent years.
- Since the introduction of mandatory mitigation, substantial new data on bycatch between vessels and across sectors of the fleet is available from relatively high levels of observer coverage.
- Of particular note, some mitigation used, i.e., bird bafflers, are not currently recognised as best practice globally.

## Overall objective

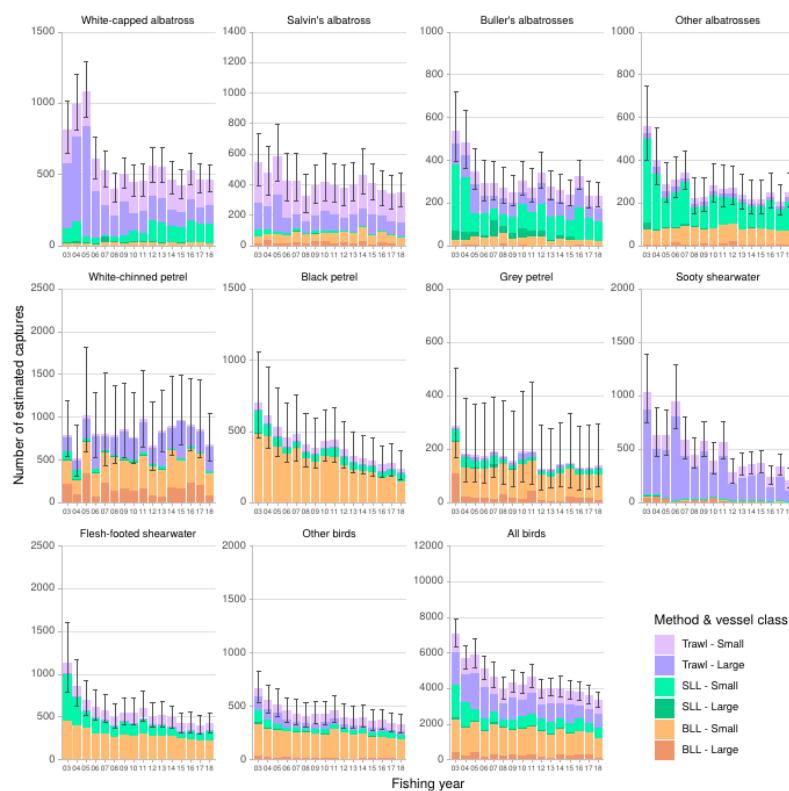
To assess the use and effectiveness of warp mitigation measures currently in use across New Zealand's commercial large-vessel trawl fisheries. The analysis will be based on:

- observer data;
- a systematic literature review; and,
- interviews with experts and stakeholders.

# Objective 1

Primary exploration of observer data, relating estimated seabird capture rates to mitigation device use (as recorded in the Centralised Observer Database, COD).

- Using the current seabird bycatch estimation models (Abraham & Richard 2020) and observer data up to the 2019–20 fishing year; the observer data show some changes in capture rates, and take into account the effects that are unrelated to mitigation devices.
- Bycatch estimation models will be further expanded to include mitigation device covariates (baffler, warp-scarer and bird scaring lines) as an initial exploration of whether the use of a mitigation device affects the seabird capture rate.
- A-priori considerations include:
  - i. There is potential of a separate analysis for each species with sufficient observer records of warp captures in large-vessel trawl fisheries; e.g.; white-capped, Salvin's and Buller's albatrosses.
  - ii. Captures will need to be classified as warp or net, because this project will only apply to warp captures. Note, before the mandatory introduction of mitigation devices, the net-warp capture classification was not explicitly recorded; however, some classification information in the earlier period is available from observer comments.



(Figure 6 in Abraham and Richards (2020)): Time series of the number of estimated captures for the seabird species groups and for all birds for the 2002–03 to 2017–18 fishing years. Estimates are shown by fishing method and vessel size class. Cut-off lengths for small and large vessel size classes were 45 m, 34 m, and 28 m, for surface-longline (SLL), bottom- longline (BLL), and trawl fishing, respectively. Coloured bars indicate the mean number of captures, error bars are the 95% credible interval in the total number of estimated captures within each fishing year. (Note different y-axis scales.)

## Objective 2

Investigate whether the recorded designs of the mitigation devices are impacting their effectiveness.

- Characterise and summarise the mitigation device data, which includes device measurements.
- Using the device data characterisation, liaise with stakeholders to determine:
  - i. ideal device design and deployment;
  - ii. whether device design details are evident in the data;
  - iii. whether modelling can identify optimal device combinations or configurations based on available data;
  - iv. how the data could best be summarised to inform mitigation effectiveness; and,
  - v. how the data collection and reporting can be improved to better inform mitigation effectiveness.

## Objective 3

Present methods and preliminary results to a CSP Technical Working Group for review and critical feedback.

## Objective 4

Use stakeholder review and feedback to refine data exploration and modelling approach (if applicable). Present final results, recommendations, and draft report to a second CSP Technical Working Group.

### Note

Progress to date:

- Literature review is underway.
- Initial discussions with experts about warp mitigation practices in New Zealand's deepwater fleet
  - met with Richard Wells and John Cleal in Nelson on 18th November.
- Today's presentation:
  - preliminary exploration of the observer data
  - seabird captures in the large vessel trawl fleet
  - warp captures
  - warp mitigation

# Primary exploration of observer data

## Captures

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Restrict captures to:

- method = Trawl
- species = seabirds
- vessel class = L (vessel length > 28m)

Check capture method

Table 1: Number of capture events by capture method

	BB	M	N	NC	NI	NL	NW	O	OT	P	S	SG	SH	T	TO	U	X	Total
1994	1																1	
1995	14															3	17	
1996	13															1	14	
1997	46										5					3	54	
1998	72		8								9					5	94	
1999	145		30					1		3	92					2	273	
2000	76		13								51					16	156	
2001	511		39							3	140					17	710	
2002	173		16							4	92					23	308	
2003	2		131							3	58					67	261	
2004			126							1	70					51	248	
2005	3	1	219								130					77	430	
2006	7	4	240							1	46					39	337	
2007	13	1	143								9					12	178	
2008	1	5	183					7		2	19					8	225	
2009		2	323							2		41				6	374	
2010		1	185							1	9	27				18	241	
2011			278							5		13				19	315	
2012			162							8		52				4	226	
2013		1	606							7	1	63				22	700	
2014	7		384							8		56				17	472	
2015		2	556							6		19		1	12		596	
2016			365							15	1	45				10	436	
2017		2	368							6	1	23				4	404	
2018		5	419								1	34				11	470	
2019	36	1	388	2	1		2	43			59				2	8	542	
2020	10	2		43	205	101	135	2	15		33	1	9			3	559	
<b>Total</b>	1130	2	25	5182	45	206	101	137	111	15	30	1186	1	9	1	2	455	3 8641

Table 2: Number of observed captures by capture method

		BB	M	N	NC	NI	NL	NW	O	OT	P	S	SG	SH	T	TO	U	X	Total
1994		2																2	
1995		20															5	24	
1996		18															2	19	
1997		83										7					4	92	
1998		97		9								12					6	121	
1999		381		132						2	5	194					3	712	
2000		104		17								70					28	216	
2001		976		120							5	278					22	1397	
2002		320		22							5	233					45	621	
2003		3		391							4	120					110	624	
2004				188							2	97					66	350	
2005		5	2	313								202					111	629	
2006		9	5	818							2	100					61	990	
2007		17	2	944								37					29	1025	
2008		902	12	1343						65	4	177					31	2528	
2009			27	4016						4		244					11	4298	
2010			6	1484						10	28	163					110	1796	
2011				8716						40		82					143	8978	
2012				675						25		226					22	945	
2013			7	4697						37	2	7493					159	12390	
2014		34		3027						20		229					80	3386	
2015			37	6329						38		214			3		32	6648	
2016				2126						80	5	207					30	2444	
2017			6	7311						24	2	73					11	7422	
2018			15	3557							6	154					58	3786	
2019		445	10	2594	5	2		3	241			263			6	66	3626		
2020		32	31		493	1991	673	1921	29	86		212	17	76			7	5557	
<b>Total</b>		3432	31	119	48808	497	1992	673	1923	603	86	59	11064	17	76	3	6	1221	7 70600

Figure 1: Number of capture events by capture method

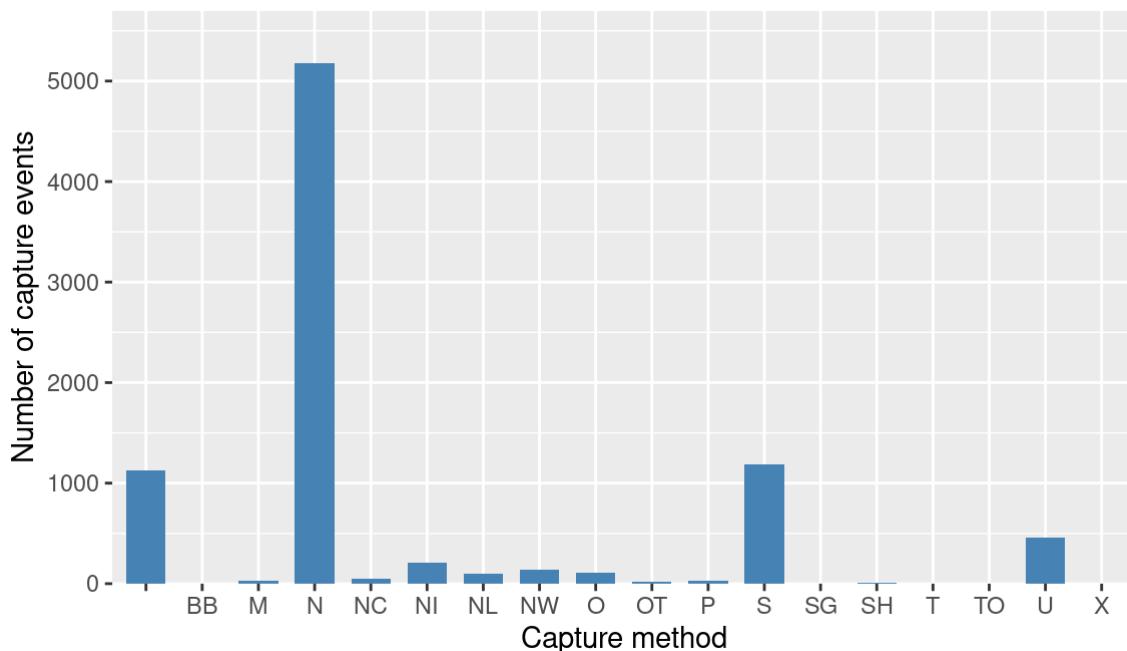


Table 3: Capture codes and descriptions

**capture.code**

U	Unknown
T	Tangled
N	Net capture
M	Caught in seabird mitigation device (i.e. tori line or bird baffle)
S	Caught on warp or door
NI	Internal net capture (the animal was caught inside the trawl net/codend/pounds)
NC	External net capture (the animal was caught/tangled in mesh of the cod-end)
NL	External net capture (the animal was caught/tangled in the mesh of the lengthener/taper)
NW	External net capture (the animal was caught in the mesh of the net wings/body)
SH	Animal was caught/tangled in the hood of the SLED
SG	Animal was caught on the grid of the SLED
OT	Other capture location on a trawl vessel (describe in comments)
TO	Tangled in tori line
BB	Caught in bird baffle
P	Caught in fishing pot
O	Other location (only use this code if no others apply; describe in comments)
X	Unknown

Check extreme number of (< 1 or > 1000) specimens caught per capture event

Table 4: Number of observed captures by specimen counts per capture event, by capture method

	-1	0	1676	1677	1678	1857	2001	2002	2003	7001	7002	8360	9549	9550	9551	Total
M	0															0
N	-14	1	1677	1678	1679	1858	2002	2003	2004	7002		8361	9550	9551	9552	56891
O	0															0
S	0										7003					7002
Total	-17	1	1677	1678	1679	1858	2002	2003	2004	7002	7003	8361	9550	9551	9552	63890

Table 5: Number of observed captures by specimen counts per capture event, by fishing year

	-1	0	1676	1677	1678	1857	2001	2002	2003	7001	7002	8360	9549	9550	9551	Total
2007/2008	-6															-6

# Warp captures

Restrict captures to:

- method = Trawl
  - species = seabirds
  - vessel class = L (vessel length > 28m)
  - exclude extreme values for number of specimens caught per capture event
  - capture method
    - M = caught in seabird mitigation device
    - S = caught on warp or door
    - TO = tangled in tori lone
    - BB = caught in bird baffler

Table 6: Number of observed capture events by capture method and fishing year

	BB	M	S	TO	Total
1997			5		5
1998			9		9
1999			92		92
2000			51		51
2001			140		140
2002			92		92
2003			58		58
2004			70		70
2005		1	130		131
2006		4	46		50
2007		1	9		10
2008		5	19		24
2009		1	40		41
2010		1	27		28
2011			13		13
2012			52		52
2013		1	62		63
2014			56		56
2015		2	19		21
2016			45		45
2017		2	23		25
2018		5	34		39
2019		1	59	2	62
2020	2		33		35

<b>Total</b>	2	24	1184	2	1212
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Table 7: Number of observed captures by capture method and fishing year

	BB	M	S	TO	Total
<b>1997</b>			7		7
<b>1998</b>			12		12
<b>1999</b>			194		194
<b>2000</b>			70		70
<b>2001</b>			278		278
<b>2002</b>			233		233
<b>2003</b>			120		120
<b>2004</b>			97		97
<b>2005</b>	2		202		203
<b>2006</b>	5		100		104
<b>2007</b>	2		37		38
<b>2008</b>	12		177		188
<b>2009</b>	28		245		272
<b>2010</b>	6		163		168
<b>2011</b>			82		82
<b>2012</b>			226		226
<b>2013</b>	7		491		497
<b>2014</b>			229		229
<b>2015</b>	37		214		250
<b>2016</b>			207		207
<b>2017</b>	6		73		78
<b>2018</b>	15		154		168
<b>2019</b>	10		263	6	277
<b>2020</b>	31		212		242
<b>Total</b>	31	120	4063	6	4217

Table 8: Number of observed captures by specimen counts per capture event, by fishing year

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	22	23	24	25	
<b>1997</b>	5	3																							
<b>1998</b>	8	5																							
<b>1999</b>	57	29	28	17	16	7	8	9	10	11	12														
<b>2000</b>	42	11	10	5	6																				
<b>2001</b>	98	39	19	21	16	13	15	9	10	11	12	13	14												
<b>2002</b>	56	25	16	21	16	19	15	17	10	11	12	13	14												
<b>2003</b>	42	9	10	9	11	13	15	9	10																
<b>2004</b>	57	17	10	5	6	7																			
<b>2005</b>	99	41	13	13	11	7	8	9	10																
<b>2006</b>	36	11	10	5	6	7	8	9	10	11															
<b>2007</b>	7	5				6																		23	
<b>2008</b>	8	7	7	9	6	7																	19	20	21
<b>2009</b>	8	13	13	9	16	25	15	17	19	21	12	13	14										24	25	
<b>2010</b>	5	11	7	13	11	7	8	9	19	11	23	13	14	15	16										
<b>2011</b>	4	5	4	5	6	7		9														16	17	18	
<b>2012</b>	12	21	13	29	31	25	15	17	10	11	12	13	14												

2013	11	19	22	25	16	13	15	25		21	34	25	27	15	16	17		19	20	21	24	25	21
2014	12	17	40	37	21	7	22	9	10	11	12	13		15	16								
2015	3	7	4	5	6	7	8	17	10	11			14			17							
2016	12	13	13	21	26	13	22	25	19	11		13	14			17							
2017	7	17	13	9	6	19					13												
2018	10	17	10	21	21	25	15		10				15	16	18								
2019	13	21	22	33	21	25	43	33	37		23			16									
2020	8	17	13	5	11	7	22	9	10	11				17	19			24	25				
Total	597	357	277	297	271	241	239	217	190	141	144	133	131	71	121	81	35	55	39	41	23	70	49
																							5

Figure 2: Number of capture events by capture method

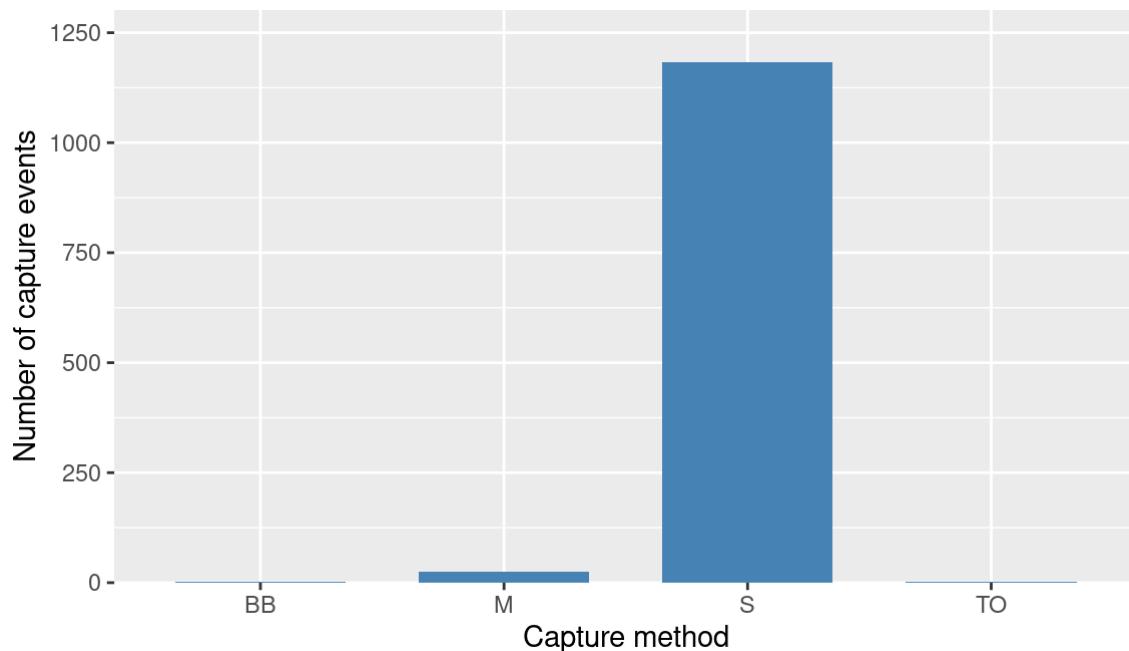


Figure 3: Number of capture events by fishery

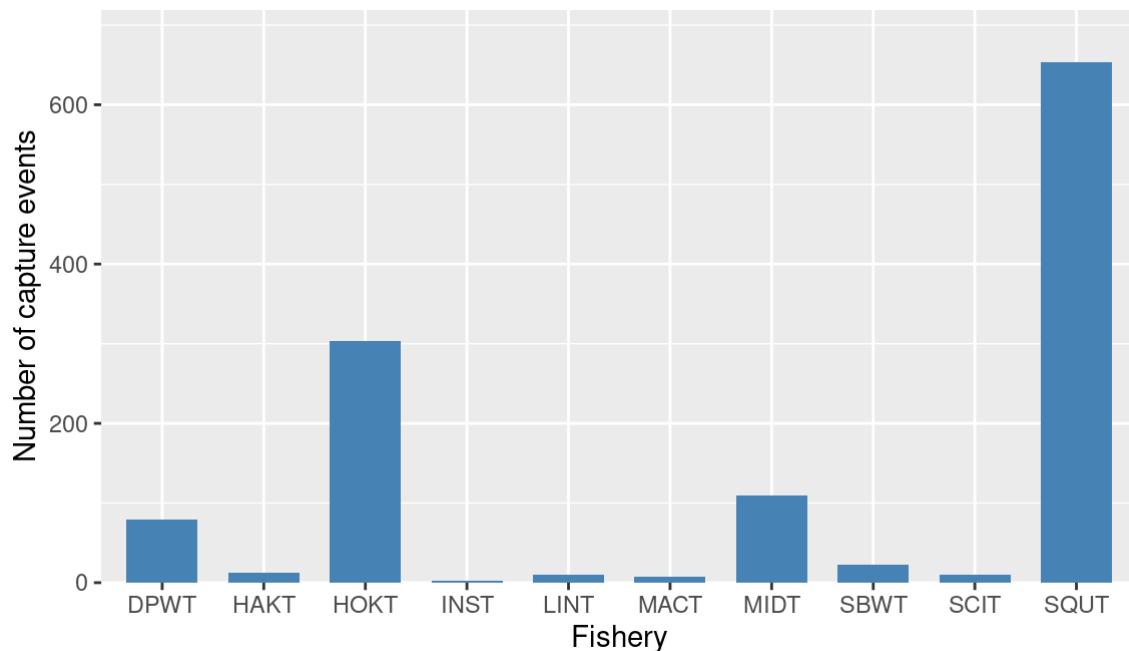


Figure 4: Number of capture events by area

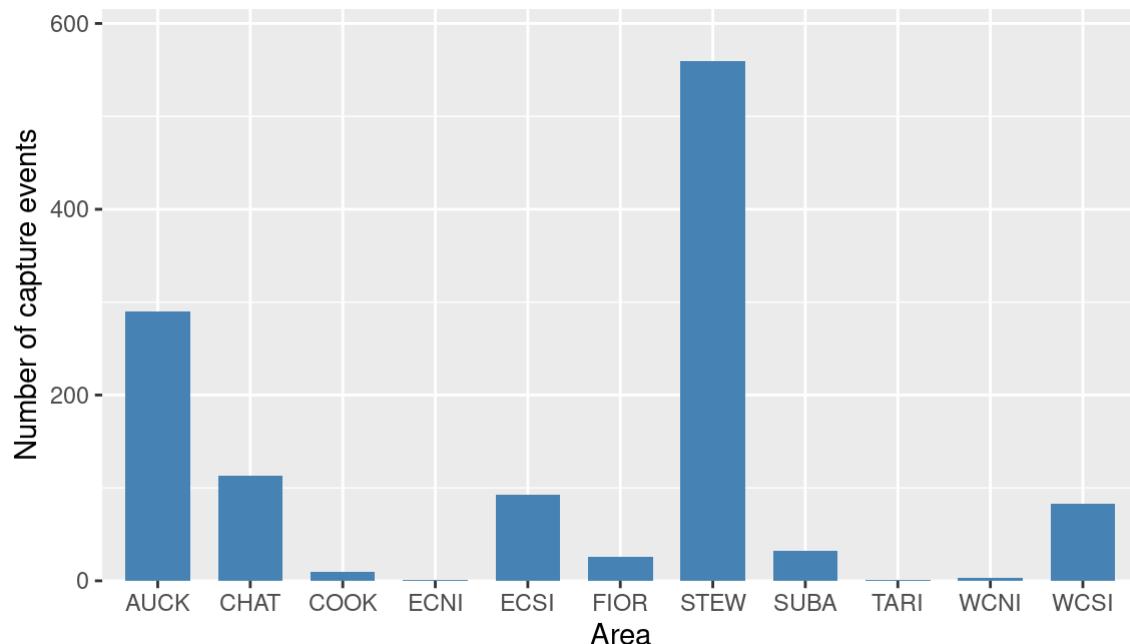


Figure 5: Number of capture events by target species

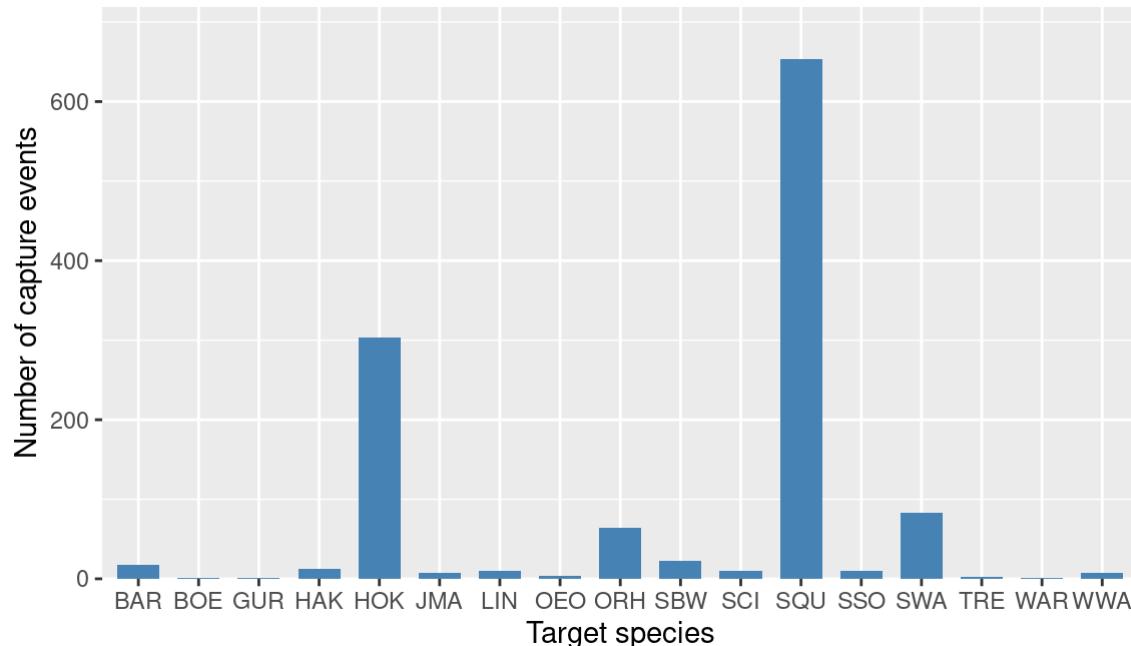


Table 9: Number of capture events by seabird species

species_code	common_name	n()
XAL	albatrosses	35
XBM	southern Buller's albatross	133
XBP	black petrel	1
XCA	Snares Cape petrel	2
XCC	Cape petrel	6
XCI	Chatham Island albatross	13

species_code	common_name	n0
XCM	Campbell black-browed albatross	11
XCP	Cape petrels	12
XDP	common diving petrel	1
XGA	great albatrosses	3
XGP	grey petrel	4
XKM	black-browed albatrosses	17
XMA	smaller albatrosses	2
XNB	northern Buller's albatross	2
XNP	northern giant petrel	7
XNR	northern royal albatross	2
XPB	Buller's albatross	1
XPC	Procellaria petrels	2
XPE	fulmars, petrels, prions and shearwaters	2
XRA	southern royal albatross	9
XRU	royal albatrosses	2
XSA	Salvin's albatross	139
XSB	seabirds	3
XSH	sooty shearwater	11
XSL	large seabirds	9
XSM	black-browed albatross	4
XSP	southern giant petrel	2
XST	storm petrels	1
XSY	Tasmanian albatross	9
XWC	white-chinned petrel	48
XWF	New Zealand white-faced storm petrel	1
XWM	New Zealand white-capped albatross	715

species_code	common_name	n()
XWP	Westland petrel	2
XXP	petrels, prions, and shearwaters	1

Table 10: Number of observed capture events by seabird species groups

speciesGroup	n()
NZ white-capped albatross	715
Other albatross	110
Other petrel	43
Other seabirds	24
Salvin's albatross	139
southern Buller's albatross	133
white-chinned petrel	48

Figure 6: Number of observed capture events by seabird species groups

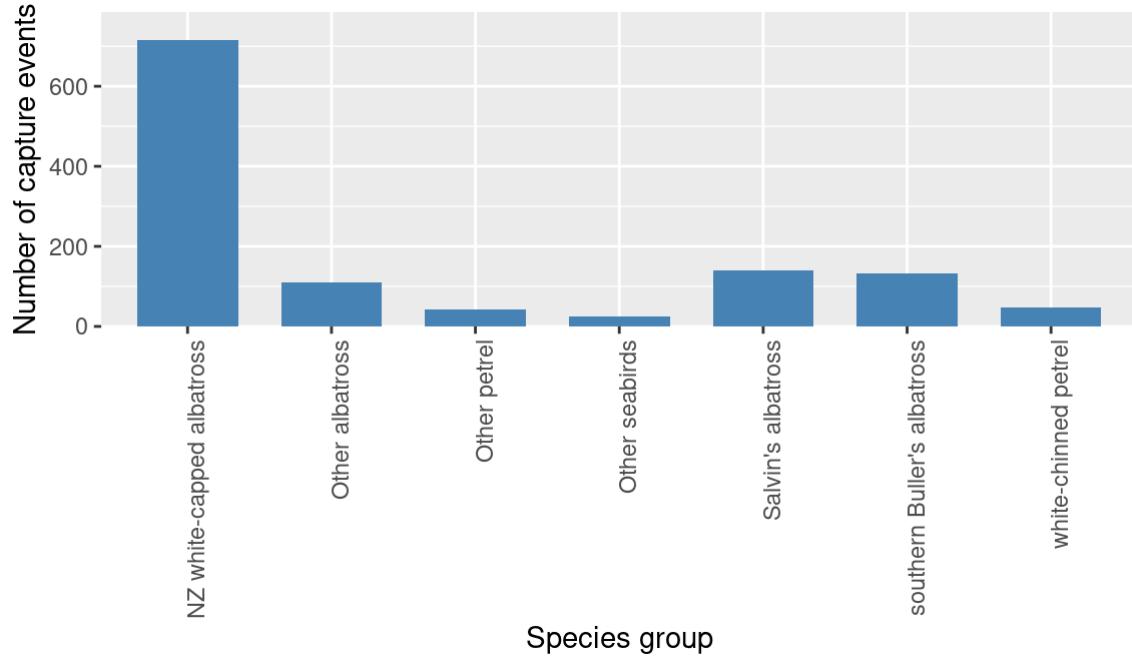


Figure 7: Number of observed capture events by fishing year

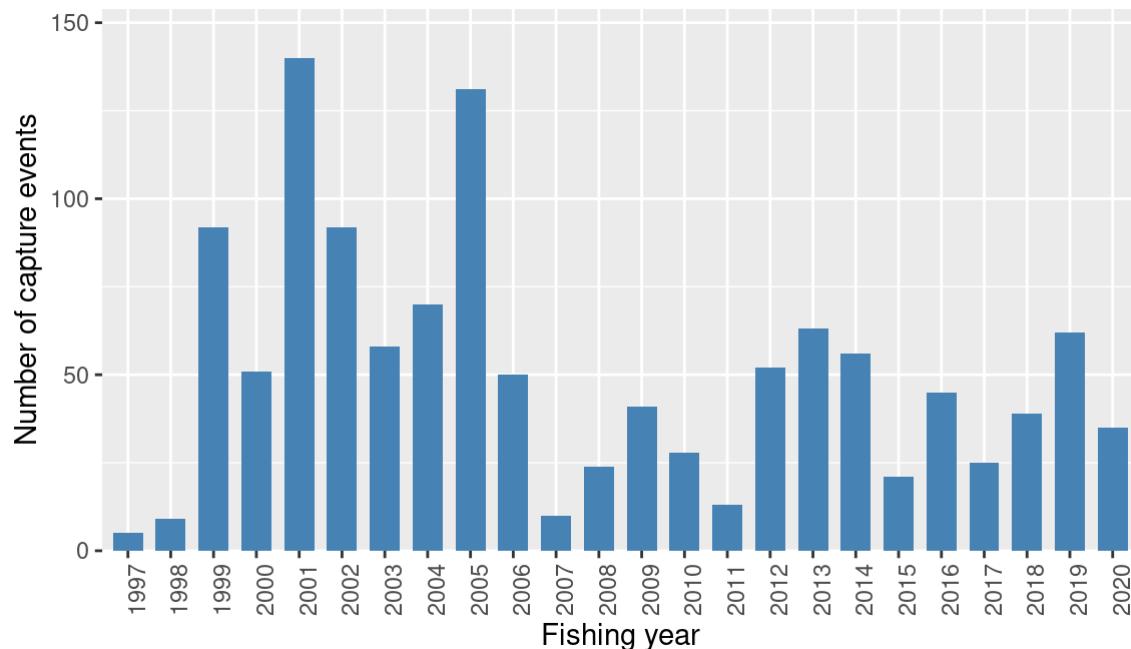


Figure 8: Number of observed captures by fishing year

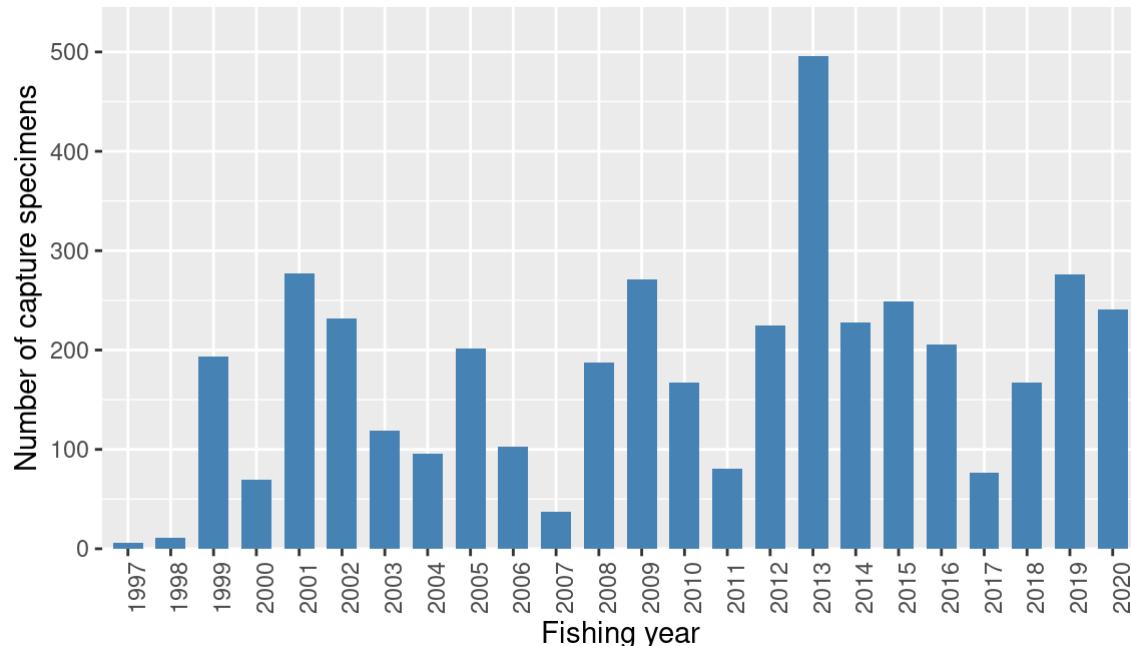


Table 11: Number of observed capture events by seabird species groups and fishing year

	NZ white-capped albatross	Other albatross	Other petrel	Other seabirds	Salvin's albatross	southern Buller's albatross	white-chinned petrel	Total
1997	5							5
1998	6	2		1				9
1999	33	15	2	2	13		1	26 92
2000	25	8	2	2	8		5	1 51
2001	107	14	4	2	6		4	3 140
2002	78	7			1		4	2 92
2003	35	4	1	1	15		2	58
2004	54	3	1	1	3		6	2 70
2005	84	9	7	4	15		8	4 131
2006	34	2	3	2	3		4	2 50
2007	5		2	1			2	10

<b>2008</b>	13	2	2	2	1	4		24
<b>2009</b>	19	4	2	1	2	13		41
<b>2010</b>	8	7	1	1	8	3		28
<b>2011</b>	9			1	1	2		13
<b>2012</b>	24	3	4		6	15		52
<b>2013</b>	38	6	1	1	7	9	1	63
<b>2014</b>	22	4	2		18	10		56
<b>2015</b>	9	1			9	2		21
<b>2016</b>	25	1	1		3	15		45
<b>2017</b>	17	4	2		1	1		25
<b>2018</b>	19	3	2		6	5	4	39
<b>2019</b>	31	6	3	1	6	12	3	62
<b>2020</b>	15	5	1	1	7	6		35
<b>Total</b>	715	110	43	24	139	133	48	1212

Table 12: Number of observed captures by seabird species groups and fishing year

	NZ white-capped albatross	Other albatross	Other petrel	Other seabirds	Salvin's albatross	southern Buller's albatross	white-chinned petrel	Total
<b>1997</b>	7							7
<b>1998</b>	9	3		2				12
<b>1999</b>	50	32	3	3	16	2	94	194
<b>2000</b>	37	9	3	3	15	7	2	70
<b>2001</b>	244	16	5	3	7	5	4	278
<b>2002</b>	218	8			2	6	3	233
<b>2003</b>	58	5	2	2	55	3		120
<b>2004</b>	78	4	2	2	4	7	6	97
<b>2005</b>	151	11	9	5	19	9	5	203
<b>2006</b>	88	3	4	3	4	5	3	104
<b>2007</b>	27		7	2		5		38
<b>2008</b>	164	6	4	4	4	11		188
<b>2009</b>	85	34	13	7	4	134		272
<b>2010</b>	28	46	6	12	74	7		168
<b>2011</b>	53			18	9	5		82
<b>2012</b>	90	6	15		19	100		226
<b>2013</b>	404	23	2	5	47	18	4	497
<b>2014</b>	133	12	7		58	23		229
<b>2015</b>	85	29			129	10		250
<b>2016</b>	122	3	5		18	63		207
<b>2017</b>	57	8	9		5	3		78
<b>2018</b>	83	5	12		19	26	28	168
<b>2019</b>	138	31	6	4	32	52	20	277
<b>2020</b>	136	41	2	3	26	39		242
<b>Total</b>	2522	315	98	63	546	519	160	4217

## Warp mitigation

Add observer effort and catch effort mitigation fields to captures data

## Query mitigation fields

Table 13: Mitigation fields available in COD

names.mitigation_fields.
mitigation_sled
mitigation_none
mitigation_tori
mitigation_baffler
mitigation_warp_scarer
mitigation_other
shot_offal_discharge
shot_offal_lookup_key
shot_fish_discharge
shot_fish_lookup_key
tow_offal_discharge
tow_offal_lookup_key
tow_fish_discharge
tow_fish_lookup_key
haul_offal_discharge
haul_offal_lookup_key
haul_fish_discharge
haul_fish_lookup_key
mitigation_equipment
mitigation_events
mitigation_event_lookup_key
nonfish_bycatch

Table 14: Mitigation fields available in COD: mitigation\_tori

	f	t	Total
1996/1997	5		5
1997/1998	9		9
1998/1999	92		92
1999/2000	51		51
2000/2001	140		140
2001/2002	92		92
2002/2003	58		58
2003/2004	70		70

<b>2004/2005</b>	131			131
<b>2005/2006</b>	50			50
<b>2006/2007</b>	5	4	1	10
<b>2007/2008</b>		11	13	24
<b>2008/2009</b>		36	5	41
<b>2009/2010</b>		26	2	28
<b>2010/2011</b>		1	12	13
<b>2011/2012</b>	1	35	16	52
<b>2012/2013</b>		55	8	63
<b>2013/2014</b>		40	16	56
<b>2014/2015</b>		12	9	21
<b>2015/2016</b>		20	25	45
<b>2016/2017</b>		12	13	25
<b>2017/2018</b>		27	12	39
<b>2018/2019</b>		23	39	62
<b>2019/2020</b>		24	11	35
<b>Total</b>	704	326	182	1212

Table 15: Mitigation fields available in COD: mitigation\_baffler

		f	t	Total
<b>1996/1997</b>	5			5
<b>1997/1998</b>	9			9
<b>1998/1999</b>	92			92
<b>1999/2000</b>	51			51
<b>2000/2001</b>	140			140
<b>2001/2002</b>	92			92
<b>2002/2003</b>	58			58
<b>2003/2004</b>	70			70
<b>2004/2005</b>	131			131
<b>2005/2006</b>	50			50
<b>2006/2007</b>	5	1	4	10
<b>2007/2008</b>		6	18	24
<b>2008/2009</b>		5	36	41
<b>2009/2010</b>			28	28
<b>2010/2011</b>		3	10	13
<b>2011/2012</b>	1	2	49	52
<b>2012/2013</b>		1	62	63
<b>2013/2014</b>		5	51	56
<b>2014/2015</b>		1	20	21
<b>2015/2016</b>		4	41	45
<b>2016/2017</b>		5	20	25
<b>2017/2018</b>		2	37	39
<b>2018/2019</b>			62	62
<b>2019/2020</b>		2	33	35
<b>Total</b>	704	37	471	1212

Table 16: Mitigation fields available in COD: mitigation\_warp\_scarer

		f	t	Total
1996/1997	5			5
1997/1998	9			9
1998/1999	92			92
1999/2000	51			51
2000/2001	140			140
2001/2002	92			92
2002/2003	58			58
2003/2004	70			70
2004/2005	131			131
2005/2006	50			50
2006/2007	5	5		10
2007/2008		24		24
2008/2009		40	1	41
2009/2010		28		28
2010/2011		13		13
2011/2012	1	51		52
2012/2013		63		63
2013/2014		56		56
2014/2015		21		21
2015/2016		45		45
2016/2017		25		25
2017/2018		39		39
2018/2019		62		62
2019/2020		35		35
<b>Total</b>	<b>704</b>	<b>507</b>	<b>1</b>	<b>1212</b>

Table 17: Number of observed capture events by mitigation\_equipment and fishing year

	B1	B1B2	B1B2S2	B1B2T1	B1C3C4	B1O1S1	B1O1S3	B1O1T1T2	B1S1	B1S1T3T4	B1S2	B1T1	B1T1S1	B1T1T2	E
1997	5														
1998	9														
1999	92														
2000	51														
2001	140														
2002	92														
2003	58														
2004	70														
2005	131														
2006	50														
2007	5	4													
2008		5		1									6		
2009		31											1		1
2010		23													2
2011		1											2		6
2012	1	30									2		1		6

<b>2013</b>		38	11										1		4
<b>2014</b>		37				1				1			1		6
<b>2015</b>		11										1			8
<b>2016</b>		18		1						1		1			20
<b>2017</b>		10													7
<b>2018</b>		18								1		2			7
<b>2019</b>		20	3								1	2			25
<b>2020</b>		18				1	1		1	3	1				4
<b>Total</b>	704	264	14	1	1	1	1	1	1	8	2	4	8	6	96

Table 18: Number of observed capture events by mitigation\_equipment and vessel\_size category

	B1	B1B2	B1B2S2	B1B2T1	B1C3C4	B1O1S1	B1O1S3	B1O1T1T2	B1S1	B1S1T3T4	B1S2	B1T1	B1T1S1	B1T1T2	E
<b>28-43</b>	34	34	1		1							2			5
<b>43+</b>	670	230	13	1		1	1	1	1	8	2	4	6	6	91
<b>Total</b>	704	264	14	1	1	1	1	1	1	8	2	4	8	6	96

### Note

Project plan:

End of January

- Complete literature review
- Complete mitigation methods data characterisation
- Complete modelling design and run initial models
- Circulate progress report to stakeholders for review and feedback

15 February 2023

- Present final results and recommendations to the CSP TWG

28 February 2023

- Draft final report

24 March 2023

- Final report